EXECUTIVE DOCUMENT SUMMARY

State Form 41221 (R10/4-06)

Instructions for completing the EDS and the Contract process.

1. Please read the guidelines on the back of this form.
2. Please type all information.
3. Check all boxes that apply.
4. For amendments / renewals, attach original contract.
5. Attach additional pages if necessary.

5330 8/8 ML

1. EDS Number:	2. Date prepared:
C1-6-TLM-09-05	6/1/2011

3. CONTRACTS & LEASES

- [X] Professional/Personal Services
- [ ] Contract for procured Services
- [ ] Grant
- [ ] Maintenance
- [ ] Lease
- [ ] License Agreement
- [ ] Attorney
- [X] Amendment# 13
- [ ] MOU
- [ ] Renewal #
- [ ] QPA
- [ ] Other

FISCAL INFORMATION

4. Account Number:	5. Account Name:
62410-19000.531029	DWD DOL Fund
6. Total amount this action:	**7.New contract total:**
$8,123,342.00	$42,004,361.00
8. Revenue generated this action:	**9.Revenue generated total contract:**
$0.00	$0.00

10.New total amount for each fiscal year :

Year 2005	$4,500,000.00	Year 2010	$3,634,759.00
Year 2006	$10,800,000.00	Year 2011	$6,123,342.00
Year 2007	$8,600,000.00		
Year 2008	$4,500,000.00		
Year 2009	$3,846,260.00		

TIME PERIOD COVERED IN THIS EDS

11. From (month, day, year):	12. To (month, day, year):
1/1/2011	12/31/2011

13. Method of source selection:

- [ ] Bid/Quotation
- [ ] Emergency
- [ ] Negotiated
- [ ] Special Procurement
- [X] RFP# 5-13
- [ ] Other *(specify)*

AGENCY INFORMATION

14. Name of agency:	15. Requisition Number:
Dept of Workforce Development	10046

16. Address: DWD
10 N SENATE AVE RM SE0015
INDIANAPOLIS, IN 46204-2201

AGENCY CONTACT INFORMATION

17. Name:	18. Telephone #:
Barb Taylor	317/232-7471

19. E-mail address: batayior@dwd.in.gov

COURIER INFORMATION

20. Name:	21. Telephone #:
Barb Taylor	317/232-7471

22. E-mail address: ggushrowski@dwd.in.gov

VENDOR INFORMATION

23 Vendor ID # 0000051544

24. Name:	25. Telephone #:
HAVERSTICK CONSULTING INC	317-218-1734

26. Address: 6270 CORPORATE DR #100
INDIANAPOLIS, IN 46278-2900

27. E-mail address: bryan.orr@haverstickinc.com

28. Is the vendor registered with the Secretary of State? (Out of State Corporations, must be registered) [X] Yes [ ] No

29. Primary Vendor: M/WBE	30. If yes, list the %:
Minority: [ ] Yes [X] No	Minority: %
Women: [ ] Yes [X] No	Women: %
31 Sub Vendor:M/WBE	**32. If yes, list the %:**
Minority: [X] Yes [ ] No	Minority: 5.0 %
Women: [X] Yes [ ] No	Women: 5.0 %
33. Is there Renewal Language in the document?	**34. Is there a "Termination for Convenience" clause in the document?**
[ ] Yes [X] No	[X] Yes [ ] No

35. Will the attached document involve data processing or telecommunications systems(s)? [X] Yes: IOT or Delegate has signed off on contract

36. Statutory Authority *(Cite applicable Indiana or Federal Codes)*:
X

37. Description of work and justification for spending money. *(Please give a brief description of the scope of work included in this agreement.)*

This is Amendment #12 to an existing contract. Amendment #12 modifies the scope of work to include the Change Orders identified in Exhibit A. This amendment also identifies the final implementation date as 02/05/2011. IDWD released a request for proposal ("RFP") for the Unemployment Insurance Modernization ("UIM") Project. This project is a multi-year initiative to modernize and replace a 17-year-old unemployment insurance ("UI") computer system in order to maximize servies to all customers while streamlining internal IDWD processes.

38. Justification of vendor selection and determination of price reasonableness:

The UIM Steering Committee awarded the UIM Project contract to Haverstick Consulting, Inc. ("Haverstick") and its partners, including Tier Technologies, Inc., Nemetschek Software, Crew Technical Services and Source. The Haverstick proposal scored equal to or higher than all other proposals in every measurable category. The Haverstick proposal was over $7 million less than the second place bidder.

RECEIVED
JUN 24 2011

39. If this contract is submitted late, please explain why: *(Required if more than 30 days late.)*

OAG-ADVISORY

40. Agency fiscal officer or representative approval	41. Date Approved	42. Budget agency approval	43. Date Approved
Bryan Orr	6/1/2011	[illegible]	6/21/11
44.Attorney General's Office approval	**45. Date Approved**	**46. Agency representative receiving from AG**	**47. Date Approved**
M. Light	6/30/11		

6567-013

Amendment #13

This Amendment #13 ("Amendment #13"), effective January 1, 2011 through December 31, 2011, by and between Haverstick Consulting, Inc. ("Haverstick") and the State of Indiana, acting through the Indiana Department of Workforce Development (the "State"), modifies the Unemployment Insurance Modernization Agreement entered into by and between the State and Haverstick effective September 6, 2005, as amended by Amendments #1 through #12 (the "UIM Agreement"). The State and Haverstick are hereinafter referred to as the "Parties."

WHEREAS, the Parties have deemed it necessary to enter into this Amendment #13 for the following reasons:

- to address legislative changes that have affected the work required under Amendment #12 and under the UIM Agreement generally;
- to address changes in requirements, including changes that impact the work required under Amendment #12 and under the UIM Agreement generally; and
- to address additional software maintenance, including modifications, enhancements and production support.

WHEREAS, in consideration of the mutual undertakings and covenants set forth in the UIM Agreement and hereinafter, the parties agree to amend the UIM Agreement as follows:

1. This Amendment #13 is intended to provide a mechanism for addressing the following:

   a) Modifications, as defined to mean a change to the behavior of the UIM System, including items oriented to data input and processing, that modify the behavior of existing, documented functionality;
   b) Enhancements, as defined to mean a change to the functionality of the UIM System that increases the capability of the system, typically through new features, new functionality, or improvements;
   c) Production Support, as defined to mean the act of assisting the Service Desk in the detection, analysis, isolation, and correction of defects. Production support also includes ad-hoc reporting, data modifications, installations, configuration, interacting with end users in support of training and education, creation of system documentation, and recommending system enhancements; and
   d) Any changes in processing, data, or functionality as a result of state-to-state processing changes ("State-to-State"), legislation changes ("Legislative"), or federal extensions ("Federal").
   e) Services, as defined by Amendment 12.

2. The Parties hereby establish a Change Control Board, which shall consist of four voting members designated by the State and one voting member designated by Haverstick.

The Change Control Board will provide the governance necessary to support the model and the resource allocation by:

a) Determining the optimal level of requirements quality and completeness;
b) Reinforcing focus on critical items moving forward;
c) Providing alignment between the state and Haverstick during releases and production support; and
d) Providing the state a mechanism for assessing cost, benefit and risk related to enhancements and production support activity.

3. The Parties agree to continue following the Change Control Process as documented and agreed upon in the August 9, 2010 version of the UIM CCB Charter. The Parties agree that the Dispute Resolution process set forth in Article 12 of the UIM Agreement shall remain in full force and effect.

4. A Change Control Board meeting will establish the classification of Enhancement, Modification, Production Support, Legislative, Federal, State-to-State, or Services and will require analysis to determine the Level of Effort ("LOE") to implement. Based upon the analysis, the State shall have the option to increase or decrease the scope of Amendment #13. In the case that the LOE increases, the State shall authorize additional funding to be agreed upon by the Parties. In the case that the LOE decreases, the Parties shall adjust the Haverstick Monthly Payment schedule accordingly. Notwithstanding any contrary provisions of this section, Services, as agreed upon by the CCB shall be implemented with no additional increase to scope, regardless of the LOE required.

5. Configuration Change Requests (CCRs). The parties agree and stipulate that the Configuration Change Requests listed and identified on the project change request attached hereto as Exhibit A (the "Configuration Change Requests") shall be and hereby are included in Agreement Price, as hereinafter defined. The parties may hereafter agree to additional CCRs that are classified as an Enhancement, Modification, Production Support, State-to-State, Legislative, Federal, Services, or other classifications as may hereafter be agreed upon by the Parties. Any additional CCRs shall be agreed to in writing by the Parties. Based upon the increase or decrease in LOE as reflected by the CCRs, the Haverstick Monthly Payment Schedule may be adjusted accordingly as agreed by the Parties.

6. The UIM Agreement is hereby amended to increase the Agreement Price for contractor services from Thirty-Five Million Eight Hundred Eighty-One Thousand Nineteen Dollars ($35,881,019) by an additional amount not to exceed Six Million One Hundred Twenty-Three Thousand Three Hundred Forty-Two Dollars ($6,123,342). Therefore, the first two sentences of Section 4.1 of the UIM Agreement, Fees, as modified in Amendment #4, Amendment #8, Amendment #11, and Amendment #12 are removed in their entirety and replaced with the following:

Section 4.1 Fees. Remuneration to Haverstick under this Agreement shall not exceed Forty-Two Million Four Thousand Three Hundred Sixty-One Dollars ($42,004,361) (the "Agreement Price");

The amounts payable to Haverstick pursuant to paragraphs 1(ii) and 1(iii) of Amendment #12 shall be due and payable upon Initial Deployment and upon the final acceptance of Initial Deployment, as described in paragraph 7, below.

7. The estimated cost and LOE for the work to be performed under this Amendment #13 are further described in the Release Schedule attached hereto as Exhibit B. Unless amended in writing by mutual agreement of the parties, Haverstick is not obligated to incur expenses or make commitments in excess of the LOE set forth in the Release Schedule, except as may be required for Services, and the State is not obligated to compensate Haverstick beyond the funded amount of the Release Schedule. Haverstick shall promptly notify the State in writing when the contract or any additional CCRs agreed to by the parties are expected to exceed seventy-five percent (75%) of the specified LOE. Along with the notice, Haverstick shall provide the State with a revised estimate of the total LOE. Haverstick shall hereafter maintain, and the State's authorized representatives shall have the right to examine and audit, all records and other evidence sufficient to reflect properly all labor hours claimed to have been incurred or anticipated to be incurred directly or indirectly in performance of the project.

The Initial Deployment Date and Final Implementation Date set forth in paragraph 5 of Amendment #12, Sections 3.2(a) and 3.2(b), are hereby deleted. The functionality associated with Initial Deployment, as defined in paragraph 5 of Amendment #12, Section 3.1, shall be delivered at Release 14 and the functionality associated with Final Implementation, as defined in paragraph 5 of Amendment #12 Section 3.1 to be delivered at Release 15 are all attached hereto as Exhibit C. The dates for delivery of Release 14 and Release 15 are September 5, 2011, and December 15, 2011, respectively, unless otherwise agreed by the Parties. Should the Parties agree that additional releases be necessary to fully deliver all required functionality under the UIM Agreement, the Parties agree to negotiate such additional dates and delivery schedules.

8. Invoices shall be submitted by Haverstick to the State monthly. . Invoices shall include: (1) the total number of hours of direct labor expended during the applicable period; (2) a breakdown of this total showing the number of hours expended in each labor classification and associated fees; and (3) Haverstick's estimate of the total allowable fee incurred under the contract for the period. In addition, in the case of an under run in hours specified as the total LOE, Haverstick shall submit (4) a calculation of the appropriate fee reduction.

All payments required by this Amendment #13 shall be remitted in full and will not be subject to any holdback or retention. Upon execution of this Amendment #13, the State shall pay

Haverstick the amounts due for January 2011, February 2011 and March 2011 after receipt of completed invoices as specified herein.

9. Upon execution of this Amendment #13, the State shall pay to Haverstick Five Hundred Thousand Dollars ($500,000) of the monies withheld pursuant to Article 4.4, Holdback, of the UIM Agreement. The remaining Holdback amounts shall be payable in accordance with paragraph 5, Section 3.6, of Amendment #12.

10. All other matters previously set forth in the UIM Agreement and not affected by this Amendment shall remain in full force and effect.

Non-Collusion and Acceptance

The undersigned attests, subject to the penalties of perjury, that he/she is the Contractor, or that he/she is the duly authorized representative, agent, member or officer of the Contractor, that he/she has not, nor has any other member, employee, representative, agent or officer of the Contractor, to the best of his/her knowledge, entered into or offered to enter into any combination, collusion or agreement to receive or pay, and that he/she has not received or paid, any sum of money or other consideration for the execution of this Amendment other than that which appears upon the face hereof.

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In witness whereof, Haverstick and the State of Indiana have, through duly authorized representatives, entered into this Amendment. The parties, having read and understanding the foregoing terms of this Amendment, do by their respective signatures dated below agree to the terms thereof. This Amendment #13 shall be effective as of the last date written below.

HAVERSTICK CONSULTING, INC.

Phil Carrai
Vice President, Secretary and Treasurer

Dated: 5-14-2011

INDIANA DEPARTMENT OF WORKFORCE DEVELOPMENT

Mark W. Everson, Commissioner

Dated: 5/24/11

INDIANA DEPARTMENT OF ADMINISTRATION

Robert D. Wynkoop, Commissioner

Dated: 6/8/11

STATE BUDGET AGENCY

Adam Horst, Director

Dated: 6/22/11

INDIANA OFFICE OF TECHNOLOGY

Brian Arrowood, CIO

Dated: 6/6/11

Approved as to form and legality:

Gregory F. Zoeller, Attorney General

6/30/11

Dated: _____

Exhibit A: Configuration Change Requests

Exhibit B: Invoice Schedule / LOE

Exhibit C: Deployment Functionality Deliverables, Release 14 and 15

Exhibit A – Configuration Change Requests

The following change requests are to address:

- Legislative changes that have affected the work required under Amendment #12 and under the UIM Agreement generally; and
- Changes in requirements and scope, including changes that impact the work required under Amendment #12 and under the UIM Agreement generally; and
- Software maintenance, including modifications, enhancements and production support.

Change Order	Change Order Description	Cost
1. Federal changes in August 2010 and December 2010	**General:** As a result of federal changes in August 2010 and December 2010, additional work will need to be done to validate data, testing, and selected application areas for these changes. In addition, these federal changes have caused subsequent data mismatches and data cleaning that will need to occur. **Overall Impact:** a) Overall Impact to data: Affected ability to purge data; therefore, volume of data conversion and mismatches increased. In addition, it added further scope and complexity to the UIM solution causing extended test set-up, extended test execution, delays in confirmations of defects, delays in subsequent test executions resulting from data mismatches and data cleaning. The EUC extension also created the need for another data refresh in 2011 in order to test changes made in legacy and created the need for a new strategy to deal with mismatches from benefits data smoothing. b) Impact to Test: December 2010 EUC extension required extensive re-test of relevant test scripts to ensure application could handle multi-tier accounting. Created need for another data refresh in 2011 in order to test changes made in legacy, and created the need for a new strategy to deal with mismatches from benefits data smoothing. c) Additional data refresh: New EUC imperatives forced additional data refresh and/or Mock Deployment activities	**$364,718**
2. Configuration Change Requests (CCR) approved by the Change Control Board (CCB)	**General:** To address all items added by the CCB not included in the previous scope of the project that address inconsistencies in content and consistency of project artifacts (story boards, supplemental specs, use cases, test cases, and test scripts) across project scope; resolution of specific development; and test items with business users. All of the CCB items have been approved by DWD and mandated as necessary for go live. **Overall Impact:** a) As a result of changing business processes at DWD, new scope items have been added that filled gaps/omissions in existing UIM requirements documentation versus current business practices. Each CCR provides competing workload to that already "approved" in current specifications.	**$2,543,000**

	b) Accounting: Variability of functional specs causes delays in development, requires additional resources to drive out business requirements, and forces revisions to test scripts to capture changing requirements; especially with daily verification reports. c) Benefit payment control department changes: Defined requirements were changed after development was complete and changes were needed to address current business processes; required re-analysis and corrective coding to mitigate. d) Interfaces (interstate and intrastate): Limited knowledge of the interface requirements required changes in existing coding to handle current changes in the legacy interface environment.	
3. Infrastructure and database upgrade	**General:** To address infrastructure and database upgrade and resultant analysis/development and troubleshooting on data conversion. **Overall Impact:** a) Impact of Oracle upgrade: As a result of moving from Oracle 9i to Oracle 10g as part of DWD requested upgrade, it created issues with triggers necessitating the re-write and re-configuring of triggers to be compatible with the new Oracle version. The database upgrade has caused data conversion load scripts to fail and work will be necessary to validate impact on the data conversion process.	**$80,000**
4. Production support of new environment	**General:** To address production support of new environment, as defined to mean the act of assisting the service desk in the detection, analysis, isolation, and correction of defects upon go live. Production support also includes ad-hoc reporting, data modifications, installations, configuration, interacting with end users in support of training and education, creation of system documentation, and recommending system enhancements. **Overall Impact:** a) Assisting service desk in detection, analysis, isolation and correction of defects upon launch. b) Interacting with end users in support of training/education. This is more of an informal training of DWD technical staff by communicating lessons learned and tips.	**$520,000**
5. HEA1450	**General:** House Enabling Act (HEA) 1450 is a legislative change to State of Indiana unemployment insurance (UI) requirements that introduces a tax surcharge rate to employers. The rate for 2011 forward needs to be calculated/recalculated for each qualified (eligible) employer. This law will affect the Uplink/Unemployment Insurance Modernization program by requiring introduction of: • Logic for calculating the surcharge (calculated as percentage of contribution due) • Changes to the annual rate calculation process to exclude the new surcharges	**$2,615,624**

	• Changes in Accounting (e.g., penalty-surcharge field will be added to daily verification report) • Logic for calculating the Total Premium (merit rate + factors of penalty rate, surcharge rate, and SUTA rate) • Report and payment processing • Data conversion (e.g., extract and load programs for employer transactions; all rates; reconciliation and balancing; updates to GL Account) • Interfaces (files to Quarterly Report packet vendor, Employer Service companies, and lockbox files • Correspondence changes (values to Thunderhead; notices modified to reflect new rates and wording) • ESS and Core Tax Screens (addressing surcharge rate, and/or surcharge due, and/or Total Premium fields will be added) • Does not include the annualization of benefits calculation which goes into effect on July 1, 2012.	
	Total	**$6,123,342**

Exhibit B - Invoice Schedule / LOE

Haverstick - Version: (Amd 13)
Description - Invoice Schedule

calendar date	Jan '11	Feb '11	Mar '11	Apr '11	May '11	Jun '11	Jul '11	Aug '11	Sep '11	Oct '11	Nov '11	Dec '11	Totals
Development Lead	$ 25,780	$ 18,226	$ 31,760	$ 39,270	$ 31,003	$ 29,349	$ 37,617	$ 31,003	$ 29,349	$ 39,270	$ 26,043	$ 31,003	$ 369,673
Development	$ 301,046	$ 296,256	$ 340,460	$ 362,770	$ 281,909	$ 266,264	$ 317,874	$ 168,961	$ 168,731	$ 252,052	$ 167,107	$ 188,314	$ 3,171,774
BA Lead	$ 32,590	$ 31,700	$ 23,700	$ 17,384	$ 13,665	$ 12,550	$ 16,454	$ 13,851	$ 13,386	$ 17,570	$ 11,620	$ 13,386	$ 217,857
Business Analyst	$ 49,252	$ 44,975	$ 56,483	$ 78,856	$ 62,159	$ 59,213	$ 75,275	$ 37,660	$ 36,098	$ 47,743	$ 31,400	$ 36,901	$ 615,015
Testing Lead	$ 38,499	$ 36,126	$ 28,989	$ 17,436	$ 13,737	$ 12,821	$ 16,617	$ 13,825	$ 13,218	$ 17,524	$ 11,606	$ 13,606	$ 234,002
Testing	$ 92,253	$ 92,971	$ 117,986	$ 67,668	$ 53,436	$ 50,588	$ 67,333	$ 24,165	$ 22,876	$ 30,609	$ 20,298	$ 30,206	$ 690,411
Program Management	$ 77,472	$ 60,223	$ 57,363	$ 47,359	$ 37,229	$ 34,190	$ 44,826	$ 37,735	$ 36,469	$ 47,866	$ 31,857	$ 36,469	$ 548,858
Program Administration	$ 6,258	$ 5,614	$ 4,644	$ 5,999	$ 4,716	$ 4,331	$ 5,678	$ 4,780	$ 4,619	$ 6,063	$ 4,010	$ 4,619	$ 61,329
Enterprise Architecture	$ 4,276	$ 16,249	$ 28,895	$ 27,708	$ 21,930	$ 20,410	$ 26,406	$ 13,560	$ 13,105	$ 17,200	$ 11,376	$ 13,105	$ 214,222
Combined Total	$ 627,424	$ 602,371	$ 690,480	$ 664,470	$ 519,786	$ 489,716	$ 629,083	$ 375,540	$ 357,851	$ 475,897	$ 315,117	$ 377,608	$ 6,123,343

Haverstick Confidential

EXHIBIT C and EXHIBIT D - Uplink Domains and Deployment Matrix (April 27, 2011)

Domain	Currently in Production	Release 14 (September 4, 2011)	Release 15 (December 15, 2011)	Release 16 (December 15, 2011)
UI Benefits & Claimant Self Service (CSS)	**November 2006** - Data Capture, Imaging & Workflow (DCIWF) - Document Workflow **2007** - Claimant Self Service (CSS) Initial Claims &Weekly Vouchers - Automated Fact Finding (AFF) for Employers - Online Large Scale Employer Separations (LSES) - Business Intelligence & Reporting Tools (BIRT) - Adjudication Workflow **2008** - Additional/Reopens for Weekly Claims Online - Automated Processing of Base Period Discharge / Base Period Quit (BD/BQ) - Additional Edits for Additional /Reopen for Weekly Claims - Disaster Unemployment Assistance (DUA) Application Online in CSS - Deployment of Username and Password Requirements, Interfaces and Auto Navigation for Job Match (Indiana Career Connection–ICC) - Deployment of Online Filing of EEUC in CSS - Question in Initial UI Claim Filing (Permission to automatically file EEUC Claim) - Emergency Unemployment Compensation (EUC) Tiers 1 and 2 **2009** - Online filing of vouchers for Extended Benefits (EB) claims - Stimulus Bill - $25 FAC payment - Claimant Self Service (CSS) - Reworded claim questions - Auto Adjudication for various types of Claim Issues in Adjudication Workflow - EUC Tiers 3 and 4 - Extension of EUC Benefits for New Claims - December **2010** - Extension of EUC Benefits for New Claims - March - Extension of EUC Benefits for New Claims – April	- Provide UI Worker Homepage - Provide UI Program Information - Claimant Homepage - Claim Homepage – CSS - Real Time Interfaces (SSA, SSA batch, IBIQ, SIDI, WIC inquiry) - Determine Non-Monetary Eligibility - Process Mass Layoffs - Produce UI Statistics - Provide Management Reports - Provide Federal Reports - Collect Fact Finding - LADT - PROMIS - OCSE - HCTC/ATAA - Manage Special Programs - Apply for Benefits - Apply for Benefits – CSS - Calculate Monetary Eligibility - Provide Interface to ICON - ICON Phase 2 - Real Time Interfaces (SSA, SSA batch, IBIQ, SIDI, WIC inquiry) - TRA Claims Petitions - IB 4 incoming request - IB 4 outgoing response - IB 4 incoming response - IB 4 outgoing request - IB 5 incoming - UCX - UCFE - WIC-add - Claim Weekly Benefits - Claim Weekly Benefits – CSS - Generate Payments and EFT	Data Validation NDNH Federal Reports - ETA 207 Non Monetary Determination Activity (multiple claim levels) - ETA 218 Benefit Rights & Experience (multiple claim levels) - ETA 586 Interstate Combined Wage - ETA 9016 Alien Claims Activity - ETA 9048 Profiling & Re-employment Services - ETA 9049 Profiling & Re-employment Services Outcomes - ES 191 – Quarterly Summary of Federal and Military Expenditures and Adjustments - ETA 8401 – Monthly Analysis of Benefit Payment Account - T9129 Interfaces - DDBI - WRIS - Intrastate Cross Match - Premier (Collection Agency)	Create Audit and Performance Info (BAM, BTQ) Job Service Interface Blocked Claims (Wage Investigations) Remaining Management Reports Interfaces - 103 Service - Profile Scores - Profile Services - Workers Compensation Verification - Non Registered Claimants 1099Gs Special Claims - DUA - EB (State 50/50) BPC - Civil Action

Release dates are no later than September 4, 2011 or December 15, 2011.

Domain	Currently in Production	Release 14 (September 4, 2011)	Release 15 (December 15, 2011)	Release 16 (December 15, 2011)
UI Benefits & Claimant Self Service (CSS) **(continued)**	- Extension of EUC Benefits for New Claims - July	- Perform Employer Charging and Associated GL Transactions - Provide Interfaces to External Systems - Create Audit and Performance Info (BPC) - Child Support Garnishments - Debit Card - IB6 outgoing - IB6 incoming - Child Support Intercepts - Process Overpayments and Collections - Perform Cross Matches - Provide Interfaces to External Systems - Maintain Benefits Wages - Process Adjustments - Process Accounting - IDOR - OPC - Interstate CrossMatch - State New Hire CrossMatch - State Employee CrossMatch - High Volume Auditor of State		

Release dates are no later than September 4, 2011 or December 15, 2011.

Domain	Currently in Production	Release 14 (September 4, 2011)	Release 15 (December 15, 2011)	Release 16 (December 15, 2011)
UI Tax & Employer Self Service (ESS)	**November 2006** - Data Capture, Imaging & Workflow (DCIWF) - Document Workflow - Employer Self Service (ESS) Part 1 – Registration & Profile Maintenance **January 2007** - Employer Self Service (ESS) Part 2 – Reports & Payments **April 2009** - Employer Self Service (ESS) - Online Employer Fact Finding - Request for Federal Certification - Status change from Active to Inactive - Request for I & P Waiver	- Registration by Staff - ESS Registration (extended) - Profile Maintenance - Report and Payment Processing (Quarterly Reports) - Cashiering - Certification - Rating (Calc individual annual rates and recalculations) - Collections - ESS Collections - Report and Payment Adjustments (Employer Accounting) - GL Accounting - ESS Adjustments (uploads) - Complete Mergers and Acquisitions - Reporting (Generate selected Mgmt Reports) - Selected Interfaces - Workflow Generated from Batch Jobs - System Generated Work Items from Application Processes - Workflow Generated from Employer Online Activity	ESS - PEO/Client Maintenance - Employer Status Change for Involuntary Termination to Active Mergers and Acquisitions - Partials - Acquisitions when Predecessor Employer has a Credit Balance Federal Certification - Auto generation of federal certification from adjustments - Pre-certification - Annual Certification Workflow - Customized Document Workflow - Auto escalation of work item to manager - Ability to relate Workflow items and/or images to a case (I&P Waivers) - Workflow item will not display link to resolve items in Core (required to access from Core menu) Federal Reports - ETA 581 Contribution Operations - ETA 204 Experience Rating Report Section C Benefits Ratio, Section C Reserve Ratio - ETA 205 Average Employer Tax Rates - ETA 8413 Income Expense Analysis for Benefit Payment Account - ETA 8414 Income Expense Analysis for Clearing Account - ETA 2112 – Unemployment Insurance Financial Transaction Summary	Registration - Extended 2837 Data Capture ESS Profile Maintenance - Common Paymaster Maintenance - Software Company Maintenance - Employer selection of Preferred Method of Communication - Employer tax appeals online ESS Adjustments - Employer online entry of quarterly report and wage adjustments - Auto-generation of UC1 (quarterly report from wage adjustments) Collections - Write-Off of liabilities - IWT Payment Agreements and IWT Monthly Billing Statements - Tickler message for collector for past due work items - Settlement Agreements Interfaces - Clerk/Sheriff and other Costs Remaining Management Reports -

Release dates are no later than September 4, 2011 or December 15, 2011.

Domain	Currently in Production	Release 14 (September 4, 2011)	Release 15 (December 15, 2011)	Release 16 (December 15, 2011)
UI Tax & Employer Self Service (ESS) **(continued)**		Wage Maintenance and Adjustments Global Wage Transfers <u>Federal Reports</u> - ETA 8405 – Monthly Analysis of Clearing Account	Collections - Generation and Maintenance of Warrants - Assignment of specific accounts to a Collector - Tickler Message to Collector for Past Due Work Items System Generated Employer Report (ER) from Wage Maintenance Interfaces - Potential Liable Quarterly Crossmatch - Wage Request/Response ICVTE - UI Wage Evaluation Part 1 Data Validation Premier Collection Process Rating - Annual Rating Process - Fund Ratio - Mutualized Benefit Charges (MBC) - Rate Calculator not available to employer in ESS	Field Audit - Blocked Claims (wage investigations) processing - Investigation and request audit worksheet - Download Audit - Print Image Cover Sheet - Assign Audits from Pools - Maintain Audit Pools - Laptop Application Tax Performance System - All universe creation and sampling - Automatic build of image case - Questionnaires - Reporting – TPS GL Accounting - RADD Transactions for Future Reed Act Distributions - FUACR – Loan Repayments

Release dates are no later than September 4, 2011 or December 15, 2011.

Domain	Currently in Production	Release 14 (September 4 , 2011)	Release 15 (December 15, 2011)	Release 16 (December 15, 2011)
UI Appeals		- Appeals Table Maintenance - Prepare for Hearing (Part 1) - Hearing Official Homepage - Conduct Hearing - Appeal Reports - Review Board Reports - UI Stats for Appeals - Prepare for Hearing (Part 2) - Court of Appeals - Appeal Homepage - Review Board Appeal Process - Process Post Hearing Information	Data Validation	

Release dates are no later than September 4, 2011 or December 15, 2011.