CONTRACT BETWEEN THE STATE AND A STATE EDUCATIONAL INSTITUTION #0000000000000000000100645

This Contract (the "Contract"), entered into by and between Indiana Family and Social Services Administration, Division of Mental Health and Addiction (the "State") and TRUSTEES OF INDIANA UNIVERSITY (the "State Educational Institution," an institution referred to in IC § 21-7-13-32(b)), is executed pursuant to the terms and conditions set forth herein. In consideration of those mutual undertakings and covenants, the parties agree as follows:

1. Duties of the State Educational Institution.

A. The State Educational Institution shall provide prevention support services to the Division of Mental Health and Addiction and its subrecipients. Details are set forth in **Exhibit 1**, attached hereto and incorporated fully herein (collectively, the "Services").

B. Omitted.

2. Consideration.

The State Educational Institution will be paid at the rates described on **Exhibit 1** which is attached hereto and incorporated herein. Total remuneration under this Contract shall not exceed **$1,889,100.00**.

3. Term.

This Contract shall commence on **June 01, 2026** (the "Effective Date") and shall remain in effect through **August 31, 2028** (collectively, the "Term").

4. "Separateness" of Contracts between the Parties.

The State acknowledges and agrees that because of the unique nature of State Educational Institutions, the duties and responsibilities of "the State Educational Institution" in these Standard Conditions for Contracts between the State of Indiana and State Institutions and in any contract for professional services are specific to the department or unit of the State Educational Institution. The existence or status of any one contract between the State and the State Educational Institution shall have no impact on the execution or performance of any other contract and shall not form the basis for termination of any other contract by either party.

5. Access to Records.

The State Educational Institution and its subcontractors, if any, shall maintain all books, documents, papers, accounting records, and other evidence pertaining to all costs incurred under this Contract. They shall make such materials available at their respective offices at all reasonable times during this Contract term, and for three (3) years from the date of final payment under this Contract, for inspection by the State or its authorized designees. Copies shall be furnished at no cost to the State if requested.

6. Assignment; Successors.

The State Educational Institution binds its successors and assignees to all the terms and conditions of this Contract. The State Educational Institution shall not assign or subcontract the whole or any part of this Contract without the State's prior written consent. The State Educational Institution may assign its right to receive payments to such third parties as the State Educational Institution may desire without the prior written consent of the State, provided that the State

Educational Institution gives written notice (including evidence of such assignment) to the State thirty (30) days in advance of any payment so assigned. The assignment shall cover all unpaid amounts under this Contract and shall not be made to more than one party.

7. Audits.

The State Educational Institution acknowledges that it may be required to submit to an audit of funds paid through this Contract. Any such audit shall be conducted in accordance with IC § 5-11-1*, et seq.* and audit guidelines specified by the State and all applicable provisions of 2 C.F.R. 200.

The State considers the State Educational Institution to be a "Contractor" under 2 C.F.R. 200.331 for purposes of this Contract. However, if it is determined that the State Educational Institution is a "subrecipient" and if required by applicable provisions of 2 C.F.R. 200 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements), the State Educational Institution shall arrange for a financial and compliance audit which complies with 2 C.F.R. 200.500 *et seq*.

8. Authority to Bind the State Educational Institution.

The signatory for the State Educational Institution represents that he/she has been duly authorized to execute this Contract on behalf of the State Educational Institution and has obtained all necessary or applicable approvals to make this Contract fully binding upon the State Educational Institution when his/her signature is affixed, and certifies that this Contract is not subject to further acceptance by the State Educational Institution when accepted by the State.

9. Compliance with Laws

A. The State Educational Institution shall comply with all applicable federal, state and local laws, rules, regulations and ordinances, and all provisions required thereby to be included herein are hereby incorporated by reference. The enactment of any state or federal statute or the promulgation of rules or regulations thereunder after execution of this Contract shall be reviewed by the State and the State Educational Institution to determine whether the provisions of this Contract require formal modification.

B. The State Educational Institution and its agents shall abide by all ethical requirements that apply to persons who have a business relationship with the State as set forth in IC § 4-2-6, *et seq.*, IC § 4-2-7, *et seq*. and the regulations promulgated thereunder. **If the State Educational Institution has knowledge, or would have acquired knowledge with reasonable inquiry, that a state officer, employee, or special state appointee, as those terms are defined in IC § 4-2-6-1, has a financial interest in the Contract, the State Educational Institution shall ensure compliance with the disclosure requirements in IC § 4-2-6-10.5 prior to the execution of this contract.** If the State Educational Institution is not familiar with these ethical requirements, the State Educational Institution should refer any questions to the Indiana State Ethics Commission, or visit the Inspector General's website at http://www.in.gov/ig/. If the State Educational Institution or its agents violate any applicable ethical standards, the State may, in its sole discretion, terminate this Contract immediately upon notice to the State Educational Institution. In addition, the State Educational Institution may be subject to penalties under IC §§ 4-2-6, 4-2-7, 35-44.1-1-4, and under any other applicable laws.

C. The State Educational Institution certifies by entering into this Contract, that it is not presently in arrears in payment of its taxes, permit fees or other statutory, regulatory or judicially required payments to the State. The State Educational Institution agrees that further work may be withheld, delayed, or denied and/or this Contract suspended until the State Educational Institution is current in its payments and has submitted proof of such payment to the State.

D. The State Educational Institution warrants that it has no current, pending or outstanding criminal, civil, or enforcement actions initiated by the State.

E. Pursuant to IC § 5-22-3-1, the State and the State Educational Institution shall act in good faith in connection with the performance or administration of or any negotiations undertaken in accordance with or with respect to this Contract.

F. The State Educational Institution warrants that the State Educational Institution and its subcontractors, if any, shall obtain and maintain all required permits, licenses, and approvals, as well as comply with all health, safety, and environmental statutes, rules, or regulations in the performance of work activities for the State. Failure to do so may be deemed a material breach of this Contract and grounds for immediate termination and denial of further work with the State.

G. The State Educational Institution agrees that the State may confirm, at any time, that no liabilities exist to the State, and, if such liabilities are discovered, that the State may bar the State Educational Institution from contracting with the State in the future and cancel existing contracts.

H. As required by IC §5-22-3-7:

(1)The State Educational Institution and its principals certify that:

(A) the State Educational Institution, except for de minimis and nonsystematic violations, has not violated the terms of:

(i) IC §24-4.7 [Telephone Solicitation of Consumers];

(ii) IC §24-5-12 [Telephone Solicitations]; or

(iii) IC §24-5-14 [Regulation of Automatic Dialing Machines];

in the previous three hundred sixty-five (365) days, even if IC § 24-4.7 is preempted by federal law; and

(B) the State Educational Institution will not violate the terms of IC § 24-4.7 for the duration of the Contract, even if IC § 24-4.7 is preempted by federal law.

(2) The State Educational Institution and any principals of the State Educational Institution certify that an affiliate or principal of the State Educational Institution and any agent acting on behalf of the State Educational Institution or on behalf of an affiliate or principal of the State Educational Institution, except for de minimis and nonsystematic violations,

(A) has not violated the terms of IC § 24-4.7 in the previous three hundred sixty-five (365) days, even if IC § 24-4.7 is preempted by federal law; and

(B) will not violate the terms of IC § 24-4.7 for the duration of the Contract, even if IC § 24-4.7 is preempted by federal law.

10. Confidentiality of Data, Property Rights in Products, Copyright Prohibition and Ownership of Documents and Materials.

A. Publication and dissemination of the project results are of fundamental importance to both the State and the State Educational Institution. The State Educational Institution is free to publish in academic journals, present at symposia, or use any results arising out of the performance of this Contract for its own internal instructional and research, or publication (*i.e.* graduate theses and dissertations) objectives. Any publications or presentations referencing the State shall be made in accordance with this Article.

B. The parties agree that all information, data, findings, recommendations, proposals, by whatever name described and in whatever form secured, developed, written or produced by the State Educational Institution in furtherance of this Contract shall be available to the State for its use and distribution at its discretion without additional charge to State. The State Educational Institution shall take such action as is necessary under law to preserve such rights in and of the

State while such property is within the control and/or custody of the State Educational Institution. Full, immediate, and unrestricted access to the work product of the State Educational Institution during the term of this Contract shall be available to the State.

C. Use of these materials, other than related to Contract performance by the State Educational Institution, that includes any reference to the State, without the prior written consent of the State, is prohibited. For any purposes outside those contemplated by this Contract, and for which the State's participation will be referenced, the State shall have the right of review and approval of the use, disclosure, and the finished product prior to its publication. All such requests shall be made in writing and delivered to the Agency Head or his/her designee. The State shall have sixty (60) days to review such requests and will respond in writing to the State Educational Institution. If the State has not responded within sixty (60) days, the request will be deemed approved.

D. The State Educational Institution and the State agree that the distribution of proceeds from any commercial licenses for patentable or copyrightable material developed as a result of this Contract, other than publications and presentations outlined in the preceding paragraph, shall be negotiated by the parties and shall be representative of the input of each party.

11. Confidentiality, Security and Privacy of Personal Information.

This contract does not involve the use and/or disclosure of any form of identifiable State client data. Both parties covenant that this agreement shall not include the creation, transfer, use and/or disclosure of any identifiable State client data defined and/or referenced under 45 CFR Parts 160, 162, and 164 or defined and/or referenced under IC 4-1-6-1 and/or IC 4-1-11-3. Any use of identifiable State client data will require an amendment to this contract.

12. Debarment and Suspension.

A. The State Educational Institution certifies by entering into this Contract that it is not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from entering into this Contract by any federal agency or by any department, agency or political subdivision of the State. The term "principal" for purposes of this Contract means an officer, director, owner, partner, key employee or other person with primary management or supervisory responsibilities, or a person who has a critical influence on or substantive control over the operations of the State Educational Institution.

B. The State Educational Institution certifies that it has verified the suspension and debarment status for all subcontractors receiving funds under this Contract and shall be solely responsible for any recoupments or penalties that might arise from non-compliance. The State Educational Institution shall immediately notify the State if any subcontractor becomes debarred or suspended, and shall, at the State's request, take all steps required by the State to terminate its contractual relationship with the subcontractor for work to be performed under this Contract.

13. Default by State.

If the State, sixty (60) days after receipt of written notice, fails to correct or cure any breach of this Contract, the State Educational Institution may cancel and terminate this Contract and institute the appropriate measures to collect monies due up to and including the date of termination.

14. Disputes.

A. In the event that any dispute arises with respect to this Contract, the State Educational Institution and the State agree to act immediately to resolve such disputes. Time is of the essence in the resolution of disputes.

B. If a valid dispute exists as to the State Educational Institution's liability or guilt in any action initiated by the State or its agencies, and the State decides to delay, withhold, or deny work to the State Educational Institution, the State Educational Institution may request that it be allowed to continue, or receive work, without delay. In any event the State Educational Institution agrees that, notwithstanding the existence of a dispute, it will continue without delay to carry out all of its responsibilities under this Contract that are not affected by the dispute. Should the State Educational Institution fail to continue to perform its responsibilities regarding all non-disputed work, without delay, any additional costs incurred by the State or the State Educational Institution as a result of such failure to proceed shall be borne by the State Educational Institution, and the State Educational Institution shall make no claim against the State for such costs. Any payments that the State may delay, withhold, deny, or apply under this subparagraph shall not be subject to penalty or interest, except as permitted by IC § 5-17-5.

C. If the parties are unable to resolve a contract dispute between them after good faith attempts to do so, a dissatisfied party shall submit the dispute to the Commissioner of the Indiana Department of Administration (the "IDOA") for resolution, except as otherwise provided in the Funding Cancellation and Termination for Convenience paragraphs. The dissatisfied party shall give written notice to the Commissioner of the IDOA (the "Commissioner") and the other party. The notice shall include: (1) a description of the disputed issues, (2) the efforts made to resolve the dispute, and (3) a proposed resolution. The Commissioner shall promptly issue a Notice setting out documents and materials to be submitted to the Commissioner in order to resolve the dispute. The notice may also afford the parties the opportunity to make presentations and enter into further negotiations. Within thirty (30) business days of the conclusion of the final presentations, the Commissioner shall issue a written decision and furnish it to both parties. The Commissioner's decision shall be the final and conclusive administrative decision unless either party serves on the Commissioner and the other party, within ten business days after receipt of the Commissioner's decision, a written request for reconsideration and modification of the written decision. If the Commissioner does not modify the written decision within thirty (30) business days, either party may take such other action helpful to resolving the dispute, including submitting the dispute to an Indiana court of competent jurisdiction. If the parties accept the Commissioner's decision, it may be memorialized as a written amendment to this Contract if appropriate.

D. The State may withhold payments on disputed items pending resolution of the dispute. The unintentional nonpayment by the State to the State Educational Institution of one or more invoices not in dispute in accordance with the terms of this Contract will not be cause for the State Educational Institution to terminate this Contract, and the State Educational Institution may bring suit to collect these amounts without following the disputes procedure contained herein.

E. With the written approval of the Commissioner, the parties may agree to forego the process described in subparagraph C, relating to submission of the dispute to the Commissioner.

F. This paragraph shall not be construed to abrogate provisions of IC § 4-6-2-11 in situations where dispute resolution efforts lead to a compromise of claims in favor of the State as described in that statute. In particular, releases or settlement agreements involving releases of legal claims or potential legal claims of the State should be processed consistent with IC § 4-6-2-11, which requires approval of the Governor and Attorney General.

15. Employment Eligibility Verification.

As a condition precedent to entering this contract, and as required by IC § 22-5-1.7 and Executive Order 25-29, the State Educational Institution swears or affirms under the penalties of perjury that the State Educational Institution has not knowingly employed, and will not knowingly employ, an unauthorized alien. The State Educational Institution further affirms that:

A. The State Educational Institution has enrolled in, and verified the work eligibility status of all his/her/its employees through, the E-Verify program as defined in IC § 22-5-1.7-3. The State Educational Institution is not required to participate should the E-Verify program cease to exist. Additionally, the State Educational Institution is not required to participate if the State Educational Institution is self-employed and does not employ any employees.

B. The State Educational Institution has not knowingly employed or contracted with, and shall not knowingly employ or contract with, an unauthorized alien. The State Educational Institution has not retained, and shall not retain, an employee, and has not contracted and shall not contract with a person, that the State Educational Institution subsequently learned or learns is an unauthorized alien.

C. The State Educational Institution has required and shall require his/her/its subcontractors, who perform work under this Contract, to certify to the State Educational Institution that the subcontractor does not knowingly employ or contract with an unauthorized alien and that the subcontractor has enrolled and is participating in the E-Verify program. The State Educational Institution agrees to maintain this certification throughout the duration of the term of a contract with a subcontractor and to provide any and all such certifications to the State promptly upon request.

   The State may terminate this agreement for default if the State Educational Institution fails to cure a breach of this provision no later than thirty (30) days after being notified by the State.

16. FERPA Compliance.

If the State Educational Institution is an "educational agency or institution" as that term is defined by the Family Educational Rights and Privacy Act (FERPA), 20 USC 1232g, 34 CFR 99.1, and this Contract involves "personally identifiable information," as defined at 34 CFR 99.3, the State Educational Institution covenants that it will appropriately safeguard from unauthorized disclosure to third parties any "personally identifiable information" with respect to a student.

17. Force Majeure.

In the event that either party is unable to perform any of its obligations under this Contract or to enjoy any of its benefits because of natural disaster or decrees of governmental bodies not the fault of the affected party (hereinafter referred to as a "Force Majeure Event"), the party who has been so affected shall immediately or as soon as is reasonably possible under the circumstances give notice to the other party and shall do everything possible to resume performance. Upon receipt of such notice, all obligations under this Contract shall be immediately suspended. If the period of nonperformance exceeds thirty (30) days from the receipt of notice of the Force Majeure Event, the party whose ability to perform has not been so affected may, by giving written notice, terminate this Contract.

18. Funding Cancellation.

A. As required by Financial Management Circular 3.3 and IC § 5-22-17-5, when the Director of the State Budget Agency makes a written determination that funds are not appropriated or otherwise available to support continuation of performance of this Contract, this Contract shall be canceled. A determination by the Director of the State Budget Agency that funds are not appropriated or otherwise available to support continuation of performance shall be final and conclusive. The date of such written determination shall be the effective date of the cancellation.

B. If the funds specified in this Contract for paying the State Educational Institution come solely from sources other than State funds and the State Budget Agency or **Mitch Roob, FSSA**

Secretary, makes a written determination that there are no longer any available funds from such sources to support continuation of performance of this Contract, this Contract shall be canceled. A determination by the State Budget Agency or such officer of the State that such funds are not otherwise available to support continuation of performance shall be final and conclusive. The date of such written determination shall be the effective date of cancellation.

C. The State Educational Institution shall be compensated for completed supplies properly delivered, if any, and Services properly rendered prior to the effective date of cancellation. The State will not be liable for supplies delivered or Services performed after the effective date of cancellation. The State Educational Institution and the State shall agree on the amount of payment for such supplies delivered and accepted. The State may withhold from these amounts any sum the State determines to be necessary to protect the State against loss because of outstanding liens or claims of former lien holders. Failure to agree on any item in this subparagraph constitutes a dispute under the Disputes paragraph. With the exception of any item in this subparagraph on which the State Educational Institution and the State fail to agree, no other item in this paragraph shall constitute a dispute under the Disputes paragraph.

19. Governing Law.

This Contract shall be governed, construed, and enforced in accordance with the laws of the State of Indiana, without regard to its conflict of laws rules. Suit, if any, must be brought in the State of Indiana.

20. HIPAA Compliance.

This information has been incorporated in Clause 11.

21. Independent Contractor; Workers' Compensation Insurance

The State Educational Institution is performing as an independent entity under this Contract. No part of this Contract shall be construed to represent the creation of an employment, agency, partnership or joint venture agreement between the parties. Neither party will assume liability for any injury (including death) to any persons, or damage to any property, arising out of the acts or omissions of the agents, employees or subcontractors of the other party. The State Educational Institution shall provide all necessary unemployment and workers' compensation insurance for the State Educational Institution's employees, and shall provide the State with a Certificate of Insurance evidencing such coverage prior to starting work under this Contract.

22. Indiana Veteran Owned Small Business Enterprise Compliance.

Award of this Contract was based, in part, on the Indiana Veteran Owned Small Business Enterprise ("IVOSB") participation plan, as detailed in the IVOSB Subcontractor Commitment Form, commonly referred to as "Attachment A-1" in the procurement documentation and incorporated by reference herein. Therefore, any changes to this information during the Contract term must be approved by IDOA's Division of Supplier Diversity and may require an amendment. It is the State's expectation that the State Educational Institution will meet the subcontractor commitments during the Contract term. The following certified IVOSB subcontractor(s) will be participating in this Contract:

IVOSB	PHONE	COMPANY NAME and Contact's email	SCOPE OF PRODUCTS and/or SERVICES	UTILIZATION DATES	PERCENT
Veteran	317-614-5526	Plan B Marketing	Professional Services	06/01/2026-08/31/2028	0.96%
Veteran	317-776-3656	BTS Promotions, Inc.	Professional Services	06/01/2026-08/31/2028	0.02%

A copy of each subcontractor agreement must be submitted to the Division of Supplier Diversity within thirty (30) days of the effective date of this Contract. The subcontractor agreements may be uploaded into Pay Audit (Indiana's subcontractor payment auditing system), emailed to IndianaVeteransPreference@idoa.IN.gov, or mailed to IDOA, 402 W. Washington Street, Room W-462, Indianapolis, IN 46204. Failure to provide a copy of any subcontractor agreement may be deemed a violation of the rules governing IVOSB procurement and may result in sanctions allowable under 25 IAC 9-5-2. Requests for changes must be submitted to IndianaVeteransPreference@idoa.IN.gov for review and approval before changing the participation plan submitted in connection with this Contract.

The State Educational Institution shall report payments made to certified IVOSB subcontractors under this Contract on a monthly basis using Pay Audit. The State Educational Institution shall notify subcontractors that they must confirm payments received from the State Educational Institution in Pay Audit. The Pay Audit system can be accessed on the IDOA webpage at: www.in.gov/idoa/mwbe/payaudit.htm. The State Educational Institution may also be required to report IVOSB certified subcontractor payments directly to the Division of Supplier Diversity, as reasonably requested and in the format required by the Division of Supplier Diversity.

The State Educational Institution's failure to comply with the provisions in this clause may be considered a material breach of the Contract.

23. Information Technology Enterprise Architecture Requirements.

If this Contract involves information technology-related products or services, the State Educational Institution agrees that all such products or services are compatible with any of the technology standards found at https://www.in.gov/iot/policies-procedures-and-standards/ that are applicable, including the assistive technology standard. The State may terminate this Contract for default if the terms of this paragraph are breached.

24. Minority and Women's Business Enterprises Compliance.

Award of this Contract was based, in part, on the Minority and/or Women's Business Enterprise ("MBE" and/or "WBE") participation plan as detailed in the Minority and Women's Business Enterprises Subcontractor Commitment Form, commonly referred to as "Attachment A" in the procurement documentation and incorporated by reference herein**.** Therefore, any changes to this information during the Contract term must be approved by Division of Supplier Diversity and may require an amendment. It is the State's expectation that the State Educational Institution will meet the subcontractor commitments during the Contract term.

The following Division of Supplier Diversity certified MBE and/or WBE subcontractors will be participating in this Contract:

MBE/ WBE	PHONE	COMPANY NAME and Contact's email	SCOPE OF PRODUCTS and/or SERVICES	UTILIZATION DATES	PERCENT
Minority	615-777-1500	Guy Brown, LLC	Professional Services	06/01/2026-08/31/2028	0.25%
Women	812-323-9290	Smile Promotions	Professional Services	06/01/2026-08/31/2028	0.07%
Women	317-547-9937	Midwest Presort Services	Professional Services	06/01/2026-08/31/2028	0.91%

A copy of each subcontractor agreement must be submitted to the Division of Supplier Diversity within thirty (30) days of the effective date of this Contract. The subcontractor agreements may be uploaded into Pay Audit (Indiana's subcontractor payment auditing system), emailed to

MWBECompliance@idoa.IN.gov, or mailed to Division of Supplier Diversity, 402 W. Washington Street, Room W-462, Indianapolis IN 46204. Failure to provide a copy of any subcontractor agreement may be deemed a violation of the rules governing MBE/WBE procurement and may result in sanctions allowable under 25 IAC 5-7-8. Requests for changes must be submitted to MWBECompliance@idoa.IN.gov for review and approval before changing the participation plan submitted in connection with this Contract.

The State Educational Institution shall report payments made to Division of Supplier Diversity certified subcontractors under this Contract on a monthly basis using Pay Audit. The State Educational Institution shall notify subcontractors that they must confirm payments received from the State Educational Institution in Pay Audit. The Pay Audit system can be accessed on the IDOA webpage at: www.in.gov/idoa/mwbe/payaudit.htm. The State Educational Institution may also be required to report Division of Supplier Diversity certified subcontractor payments directly to the Division, as reasonably requested and in the format required by the Division of Supplier Diversity.

The State Educational Institution's failure to comply with the provisions in this clause may be considered a material breach of the Contract.

25. Nondiscrimination.

Pursuant to the Indiana Civil Rights Law, specifically IC § 22-9-1-10, and in keeping with the purposes of the federal Civil Rights Act of 1964, the Age Discrimination in Employment Act, and the Americans with Disabilities Act:

A. The State Educational Institution covenants that it shall not discriminate against any employee or applicant for employment relating to this Contract with respect to the hire, tenure, terms, conditions or privileges of employment or any matter directly or indirectly related to employment, because of the employee's or applicant's race, color, national origin, religion, sex, age, disability, ancestry, status as a veteran, or any other characteristic protected by federal, state, or local law ("Protected Characteristics"). The State Educational Institution certifies compliance with applicable federal laws, regulations, and executive orders prohibiting discrimination based on the Protected Characteristics in the provision of services. Breach of this subparagraph may be regarded as a material breach of this Contract, including for purposes of Indiana Code § 5-11-5.5-2, but nothing in this paragraph shall be construed to imply or establish an employment relationship between the State and any applicant or employee of the State Educational Institution or any subcontractor.

B. State Educational Institution covenants that it does not and shall not operate any programs or engage in any practices promoting Diversity, Equity, and Inclusion (DEI), or other similar goals, that violate Indiana or Federal Civil Rights Laws by treating a person differently on the basis of race or sex, such as by considering race or sex when making recruitment, hiring, disciplinary, promotion, or employment decisions; requiring employees to participate in training or educational programs that employ racial or sex stereotypes; or attempting to achieve racial or sex balancing in the State Educational Institution's workforce. The Parties agree that a breach of this subparagraph is a material breach of this Contract, including for purposes of Indiana Code § 5-11-5.5-2, but nothing in this paragraph shall be construed to imply or establish an employment relationship between the State and any applicant or employee of the State Educational Institution or any subcontractor.

26. Notice to Parties.

A. i. Whenever any notice, statement, request, consent or other communication is required under this Contract (each, a "Notice"), it shall be effective only if it is in writing and: (a) personally delivered; or (b) sent by: (I) email; (II) first class U.S. mail service; or (III) a nationally recognized overnight delivery service, with delivery confirmed and costs of delivery being prepaid; to the following addresses, or to such other address or addresses as shall be furnished in writing by any party to the other party.

ii. Notices to the State shall be sent to:
Sarah Sailors, Director
Indiana Family and Social Services Administration
Division of Mental Health and Addiction
402 W. Washington St., W-353
Indianapolis, IN 46204
Email: Sarah.Sailors@fssa.in.gov

iii. Notices to the State Educational Institution shall be sent to:
Director, Research Contracting
Office for Research Administration
509 E. 3rd Street
Bloomington, IN 47405

iv. Unless the sending party has actual knowledge that a Notice was not received by the intended recipient, a Notice shall be deemed to have been given as of the date (a) when personally delivered; (b) when sent in the case of an email properly addressed; (c) three (3) days after the date deposited with the United States mail properly addressed; or (d) the next day when delivered during business hours to an overnight delivery service, properly addressed and prior to such delivery service's cut off time for next day delivery. The parties acknowledge that Notices delivered by facsimile shall not be effective.

B. As required by IC § 4-13-2-14.8, payments to the State Educational Institution shall be made via electronic funds transfer in accordance with instructions filed by the State Educational Institution with the Indiana State Comptroller.

27. Order of Precedence; Incorporation by Reference.

Any inconsistency or ambiguity in this Contract shall be resolved by giving precedence in the following order: (1) this Contract, (2) attachments prepared by the State, (3) RFP #26-85529, (4) State Educational Institution's response to RFP #26-85529, and (5) attachments prepared by the State Educational Institution. All attachments, and all documents referred to in this paragraph, are hereby incorporated fully by reference.

28. Payments.

A. All payments shall be made 35 days in arrears in conformance with State fiscal policies and procedures and, as required by IC §4-13-2-14.8, the direct deposit by electronic funds transfer to the financial institution designated by the Contractor in writing unless a specific waiver has been obtained from the Indiana Auditor of State. No payments will be made in advance of receipt of the goods or services that are the subject of this Contract except as permitted by IC §4-13-2-20.

B. Claims shall be submitted for reimbursement in accordance with the specified Component Descriptions and Unit Descriptions utilizing the State-generated FSSA Contract Claim Reimbursement Form. Costs are incurred on the date goods, services, and/or deliverables are satisfactorily provided in full and/or **after** a reimbursable expense has been paid. Reimbursement shall be based on actual goods, services and/or deliverables provided and/or actual reimbursable expenses previously paid. Claims shall be submitted to the State within sixty (60) calendar days following the end of the month in which goods, services or deliverable were provided and/or expenses were paid. The State has the discretion, and reserves the right, to **not pay** any claims submitted later than sixty (60) calendar days after the expiration of a specific Claim Program ID effective date or date of termination of this agreement. Payment for claims submitted after that time may, at the discretion of the State, be denied.

C. At the time that the final claim is submitted, all reconciliation issues must be resolved including the return of any incorrectly reimbursed monies or credits received for expenses previously reimbursed. Incorrectly reimbursed funds or credits received for expenses reimbursed will be returned immediately upon discovery as a direct payment, not credit, to the "State of Indiana." Each return of funds will be accompanied with a completed FSSA Contract Claim Reimbursement Form identifying specific Components to be credited (negative) and each associated month reported on the original reimbursement request. Payments and FSSA Contract Claim Reimbursement Forms will be submitted to FSSA Administrative Services using the address provided on the reimbursement form.

D. Claims must be submitted with accompanying supportive documentation, as designated by the State. Incomplete claims submitted or claims submitted without supportive documentation will be returned to the Contractor and/or Grantee and not processed for payment. Failure to successfully perform or execute the policies and/or provisions made in this contract may result in the denial and/or partial payment of claims submitted for reimbursement.

29. Renewal Option.

This Contract may be renewed under the same terms and conditions, subject to the approval of the Commissioner of the Department of Administration and the State Budget Director in compliance with IC § 5-22-17-4. The term of the renewed contract may not be longer than the term of the original Contract.

30. Severability.

The invalidity of any section, subsection, clause or provision of this Contract shall not affect the validity of the remaining sections, subsections, clauses or provisions of this Contract.

31. Taxes.

The State is exempt from state, federal and local taxes. The State will not be responsible for any taxes levied on the State Educational Institution as a result of this Contract.

32. Termination for Convenience.

A. This Contract may be terminated, in whole or in part, by the State, which shall include and is not limited to the IDOA and the State Budget Agency whenever, for any reason, the State determines that such termination is in its best interest. Termination of the delivery of supplies, if any, or the rendering of Services shall be effected by delivery to the State Educational Institution of a termination notice at least thirty (30) days prior to the effective date of termination, specifying the extent to which the delivery of such supplies and the performance of such Services under such termination becomes effective. In connection with the delivery of such termination notice, the State shall send a copy thereof to the Commissioner.

B. The State Educational Institution shall be compensated for supplies properly delivered, if any, and Services properly rendered prior to the effective date of termination. The State will not be liable for supplies delivered or Services performed after the effective date of termination. The State Educational Institution and the State shall agree on the amount of payment for such supplies delivered and accepted and for the protection and preservation of the property. The State may withhold from these amounts any sum the State determines to be necessary to protect the State against loss because of outstanding liens or claims of former lien holders. The State Educational Institution shall be compensated for supplies properly delivered and Services provided in accordance with this Contract, but in no case shall the total payment made to the State Educational Institution exceed the original contract price or shall any price increase be allowed on individual line items, if any such supplies or Services were canceled only in part prior to the original termination date. Failure to agree on any item in this subparagraph constitutes a dispute under the Disputes paragraph.

C. For the purposes of this paragraph, the parties stipulate and agree that IDOA shall also be deemed to be a party to this Contract with authority to terminate the same for convenience when such termination is determined by the Commissioner to be in the best interests of the State. With the exception of any item in subparagraph B, no other item in this paragraph shall constitute a dispute under the Disputes paragraph.

D. The rights and remedies of the State in this paragraph are in addition to any other rights and remedies provided by law or equity or under this Contract.

33. Termination for Default.

A. With the provision of thirty (30) days' notice to the State Educational Institution, the State may terminate this Contract in whole or in part if the State Educational Institution fails to:

i. correct or cure any breach of this Contract; the time to correct or cure the breach may be extended beyond thirty (30) days if the State determines progress is being made and the extension is agreed to by the parties;
ii. deliver the supplies, if any, or perform the Services within the time specified in this Contract or any extension thereof;
iii. make progress so as to endanger performance of this Contract; or perform any of the other provisions of this Contract.

In connection with the delivery of such notice, the State shall send a copy thereof to the Commissioner.

B. If the State terminates for cause in whole or in part, it may acquire, under the terms and in the manner the State considers appropriate, supplies, if any, or services similar to those terminated, and the State Educational Institution will be liable to the State for any excess costs for those supplies or services. However, the State Educational Institution shall continue the work not terminated.

C. The State shall pay the contract price for supplies properly delivered, if any, and Services accepted. The State Educational Institution and the State shall agree on the amount of payment for supplies delivered and accepted and for the protection and preservation of the property. The State may withhold from these amounts any sum the State determines to be necessary to protect the State against loss because of outstanding liens or claims of former lien holders. The State Educational Institution shall be compensated for supplies properly delivered and Services herein provided, but in no case shall the total payment made to the State Educational Institution exceed the original contract price or shall any price increase be allowed on individual line items, if any such supplies or Services were canceled only in part prior to the original termination date.

D. The rights and remedies of the State in this paragraph are in addition to any other rights and remedies provided by law or equity or under this Contract.

34. Travel.

No expenses for travel will be reimbursed unless specifically authorized by this Contract. Permitted expenses will be reimbursed at the rate paid by the State and in accordance with the *Indiana Department of Administration Travel Policy and Procedures* in effect at the time the expenditure is made. Out-of-state travel requests must be reviewed by the State for availability of funds and for conformance with *Travel Policy* guidelines.

35. Waiver of Rights.

No right conferred on either party under this Contract shall be deemed waived, and no breach of this Contract excused, unless such waiver is in writing and signed by the party claimed to have waived such right

36. Warranty.

The State Educational Institution warrants and guarantees that the Services provided pursuant to this Contract shall conform in all material respects with the specifications set forth in paragraph 1.A of this Contract, and will be: (A) free of any claim of infringement, misappropriation, unfair competition, or violation of any third party intellectual property right; (B) created and delivered in accordance with applicable laws and industry standards and practices; and (C) fully tested in accordance with the State's quality assurance testing process, if applicable. This warranty will extend for a period of one year from the date of the completion of Services. All work not conforming to this Contract and related specifications may be considered defective. The warranties and guarantees provided in this paragraph and elsewhere in this Contract, if any, shall be in addition to and not in limitation of any other warranty or guarantee or remedy provided in this Contract or otherwise prescribed by law. In addition to the State's quality assurance testing process, if applicable, the State Educational Institution, with representatives of the State, shall, at the discretion of the State, review the work completed nine (9) months after the date of the completion of Services to determine any work not in compliance with the Contract. The State Educational Institution shall correct such non-complying work prior to the expiration of the one-year warranty.

37. Work Standards.

The State Educational Institution shall execute its responsibilities by following and applying at all times the highest professional and technical guidelines and standards. If the State becomes dissatisfied with the work product of or the working relationship with those individuals assigned to work on this Contract, the State may request in writing the replacement of any or all such individuals.

38. Marijuana Attestation Statement by SAMHSA.

Grant funds may not be used, directly or indirectly, to purchase, prescribe, or provide marijuana or treatment using marijuana. Treatment in this context includes the treatment of opioid use disorder. Grant funds also cannot be provided to any individual who or organization that provides or permits marijuana use for the purposes of treating substance use or mental disorders. See, e.g., 45 C.F.R. § 75.300(a) (requiring HHS to “ensure that Federal funding is expended . . . in full accordance with U.S. statutory . . . requirements.”); 21 U.S.C. §§ 812(c) (10) and 841 (prohibiting the possession, manufacture, sale, purchase or distribution of marijuana). This prohibition does not apply to those providing such treatment in the context of clinical research permitted by the DEA and under an FDA-approved investigational new drug application where the article being evaluated is marijuana or a constituent thereof that is otherwise a banned controlled substance under federal law.

39. Prohibition of Needle Exchange Programs.

The Contractor shall not expend any Block Grant Monies received hereunder to conduct a needle exchange program for addicted persons. Should the Surgeon General of the United States determine that a demonstration needle exchange program would be effective in reducing drug abuse and the risk that the public will become infected with the etiologic agent for AIDS specific to 42 U.S.C. § 300x-31(a)(1)F), this prohibition may be eliminated.

40. Compliance with Charitable Choice Requirements.

The Contractor shall comply with 42 CFR §54 regarding charitable choice regulations applicable to 42 U.S.C.§ 300x-65, 42 CF Part 54 [§54.8(b) and §54.8(c)(4)] and 68 FR 56430-56449.

41. Compliance with Independent Verification and Validation Oversight.

In the event the State determines that any independent verification and validation ("IV&V") oversight is required by the State during the term of this Contract, the State Educational Institution shall comply with any such oversight during the term of this Contract, pursuant to which an independent third-party review may be conducted by a reviewer selected by the State, for the purpose of verifying that the State Educational Institution has performed its obligations in compliance with the terms of this Contract. In the absence of any such IV&V oversight, the State Educational Institution shall comply with any other appropriate methods or means for verification and validation required by the State during the term of this Contract for the purpose of verifying and validating that the State Educational Institution has performed its obligations in compliance with the terms of this Contract. The State Educational Institution shall provide all information and documentation requested during the State's IV&V oversight or verification and validation efforts related to this Contract and in connection with any IV&V oversight or verification and validation efforts related to an initiative of which this Contract is a part.

42. Liquidated Damages.

A. The State Educational Institution understands and agrees that if the State Educational Institution fails to complete the Services (the "Completion of Services") by the end of the Term (the "Completion Deadline"), the State will suffer damages, which cannot be quantified as of the Effective Date. Therefore, the State Educational Institution and the State have agreed to stipulate the amounts payable by the State Educational Institution in the event of its failure to achieve the Completion of Services by the Completion Deadline. In the event that this Contract is amended as a result of the extension of the Term pursuant to the terms of this Contract, the Completion Deadline shall automatically be extended, as well, unless, in such amendment of this Contract, it is specifically provided that the date of the Completion Deadline shall not be extended or shall be on a date thereafter, but before the date of the end of the new Term therein. Such liability shall apply even though: (i) a cure period remains available to the State Educational Institution; or (ii) a cure occurs.

B. The amounts of such liquidated damages are $100.00 for each day after the Completion Deadline until the earlier of the date the Completion of Services is achieved or the date of termination of this Contract (collectively, the "Liquidated Damages").

C. The State Educational Institution acknowledges that the Liquidated Damages are reasonable to compensate the State for the losses it will incur by reason of a delay in the Completion of Services by the Completion Deadline. Such losses include loss of use, enjoyment and benefit of the Services, injury to the credibility and reputation of the State with the general public and/or additional costs in administering this Contract (including legal, accounting and other administrative costs) (collectively, the "Losses"). The State Educational Institution further acknowledges that the Losses are incapable of accurate measurement because of, among other things, the unavailability of a substitute for the Services after the Completion Deadline.

D. As of the Effective Date, the amounts of Liquidated Damages represent good faith estimates and evaluations by the parties as to the actual potential Losses that the State would suffer as a result of a delay in the Completion of Services by the Completion Deadline, and do not constitute a penalty. The parties have agreed to the Liquidated Damages in order to fix and limit the State Educational Institution's costs and to avoid later disputes over what the amounts of Losses are properly chargeable to the State Educational Institution. Such sums are reasonable in light of the anticipated or actual harm caused by a delay in the Completion of Services by the Completion

Deadline, the difficulties of the proof of loss and the inconvenience or infeasibility of otherwise obtaining an adequate remedy.

E. Liquidated Damages are not intended to, and do not, liquidate the State Educational Institution's liability under the Indemnification paragraph, even though third-party claims against the State may arise out of the same event, breach or failure that gives rise to the Liquidated Damages.

F. The State Educational Institution shall pay any Liquidated Damages owing under this paragraph within 10 days after the State Educational Institution's receipt of the State's invoice or demand therefor, subject to the right to dispute under the Disputes paragraph. The State shall have the right to deduct and offset any unpaid Liquidated Damages from any amounts owed by the State to the State Educational Institution. The State shall have the right to draw on any surety or fidelity bond provided by the State Educational Institution pursuant to this Contract to satisfy any Liquidated Damages not paid when due. Permitting or requiring the State Educational Institution to continue and finish the Services or any part thereof after the Completion Deadline shall not act as a waiver of the right to receive Liquidated Damages hereunder or any rights or remedies otherwise available to the State.

G. The State's right to, and imposition of Liquidated Damages are in addition, and without prejudice, to any other rights and remedies available to the State under this Contract, at law or in equity respecting the breach, failure to perform or other default of the State Educational Institution, except for the recovery of Losses that the Liquidated Damages are intended to compensate. The State Educational Institution further acknowledges and agrees that Liquidated Damages may be owing even though no default has occurred under this Contract.

43. Remedies for Overages.

The State Educational Institution acknowledges that an important factor in inducing the State to enter into this Contract is the amount to be paid to the State Educational Institution pursuant to the Consideration paragraph (the "Contracted Amount"). If, on or before the termination of this Contract, the State Educational Institution requests the State to pay more than the Contracted Amount, as such amount may be hereafter amended (each, an "Overage"), the State may exercise any one or more of the following remedies:

(A) amend this Contract for the purpose of increasing the Contracted Amount by an amount equal to or less than the Overage; or
(B) reject the request and refuse to amend this Contract, thereby keeping the Contracted Amount the same.

44. State Boilerplate Affirmation Clause.

The State Educational Institution affirms under the penalties of perjury that it has not altered, modified, changed or deleted the State's standard contract clauses (as contained in the *most current State of Indiana SCM Template*) in any way except as follows:

1. Duties of the State Educational Institution. *Modified.*
11. Confidentiality, Security and Privacy of Personal Information. *Modified*.
19. HIPPA compliance. *Modified*.
28. Payments. *Modified*.
33. Termination for Default. *Modified.*

38. Marijuana Attestation Statement by SAMHSA. *Added.*
39. Prohibition of Needle Exchange Programs. *Added.*
40. Compliance with Charitable Choice Requirements. *Added.*
41. Compliance with Independent Verification and Validation Oversight. *Added.*
42. Liquidated Damages. *Added.*
43. Remedies for Overages. *Added.*

Non-Collusion and Acceptance

The undersigned attests, subject to the penalties for perjury, that the undersigned is the State Educational Institution, or that the undersigned is the properly authorized representative, agent, member or officer of the State Educational Institution. Further, to the undersigned's knowledge, neither the undersigned nor any other member, employee, representative, agent or officer of the State Educational Institution, directly or indirectly, has entered into or been offered any sum of money or other consideration for the execution of this Contract other than that which appears upon the face hereof. **Furthermore, if the undersigned has knowledge that a state officer, employee, or special state appointee, as those terms are defined in IC § 4-2-6-1, has a financial interest in the Contract, the State Educational Institution attests to compliance with the disclosure requirements in IC § 4-2-6-10.5.**

Agreement to Use Electronic Signatures

I agree, and it is my intent, to sign this Contract by accessing State of Indiana Supplier Portal using the secure password assigned to me and by electronically submitting this Contract to the State of Indiana. I understand that my signing and submitting this Contract in this fashion is the legal equivalent of having placed my handwritten signature on the submitted Contract and this affirmation. I understand and agree that by electronically signing and submitting this Contract in this fashion I am affirming to the truth of the information contained therein. I understand that this Contract will not become binding on the State until it has been approved by the Department of Administration, the State Budget Agency, and the Office of the Attorney General, which approvals will be posted on the Active Contracts Database: https://secure.in.gov/apps/idoa/contractsearch/.

In Witness Whereof, the State Educational Institution and the State have, through their duly authorized representatives, entered into this Contract. The parties, having read and understood the foregoing terms of this Contract, do by their respective signatures dated below agree to the terms thereof.

TRUSTEES OF INDIANA UNIVERSITY

DocuSigned by:

By: Joshua Eal

C42A1014DC1F493...

Title: Contract Officer; IUNR235174

Date: 5/15/2026 | 07:52 EDT

Indiana Family and Social Services Administration, Division of Mental Health and Addiction

Signed by:

By: Sarah E Sailors - 00410

149E59321450453...

Title: Director

Date: 5/15/2026 | 19:09 EDT

Electronically Approved by: Department of Administration By: (for) Brandon Clifton, Commissioner	
Electronically Approved by: State Budget Agency By: (for) Chad Ranney, State Budget Director	Electronically Approved as to Form and Legality by: Office of the Attorney General By: (for) Theodore E Rokita, Attorney General

Exhibit 1
Scope of Work

Indiana University – Prevention Insights

Overview
Contractor will provide prevention support services to the Division of Mental Health and Addiction and its subrecipients.

Term:
June 1, 2026 - August 31, 2028

Consideration:
Total funding: $1,889,100.
This project is funded by the federal Substance Use Prevention, Treatment, and Recovery Services (SUPTRS) Block Grant and Indiana's Child Psychiatric Services state appropriation.

- Deliverables 1-3 are funded by SUPTRS in the amount of $1,788,700.
- Deliverable 4 is funded by the Child Psychiatric Services state appropriation in the amount of $100,400 for the term July 1, 2026 – June 30, 2027.

Deliverables:

1. Technical assistance for subrecipients of the Substance Use Prevention, Treatment, and Recovery Services Block Grant (SUPTRS) to implement substance use primary prevention strategies.
2. Federally mandated data collection for SUPTRS subrecipients implementing substance use primary prevention strategies.
3. Administration of the Indiana Youth Survey and Indiana College Substance Use Survey to monitor substance use and behavioral health.
4. Technical assistance for the regional school-based prevention services funded via the Child Psychiatric Services state appropriation.

Conditions

- Contractor shall not contract with the subrecipients included in this scope of work to provide services related to completion of the subrecipients' grant activities. Contractor may contract with organizations on activities unrelated to and not funded through these grants with the Division of Mental Health and Addiction.
- For the term of this contract, the Contractor may not be a subrecipient of, or evaluation provider for, the SUPTRS Primary Prevention grants.
- Contractor is expected to understand and follow all Additional Terms and Conditions, if included.
- Funds will be paid as detailed in Table below, following successful processing of claims invoice submissions.
- Contractor will submit invoices based on the activities below and will not invoice for amounts in excess of the allowable amount per activity.
- Forms, reports, and other documentation must be submitted along with invoices for consideration of successful completion of each project activity being billed. Invoices submitted without appropriate documentation will not be processed until documentation is received. Documentation required to be submitted with each claim and the corresponding line items are detailed in this document.
- Once contract is executed, an FSSA claims packet and claims form with instructions will be emailed to the appointed designee. Please follow all instructions on that form.

- Contractor is expected to "carbon copy" (CC) contract owner at DMHA when emailing claims for approval.

Description of Deliverables
Activities for each deliverable and instructions for claims are described below.

1. TECHNICAL ASSISTANCE FOR SUPTRS SUBRECIPIENTS

Service Period: June 1, 2026 – August 31, 2028
Contractor will coordinate and conduct technical assistance (TA) services for up to 32 subrecipients of the SUPTRS Block Grant who are implementing substance use primary prevention strategies in communities. Services provided will include assistance to subrecipients, site visits, monthly status meetings with DMHA, maintenance of an evidence-based programs guide, provision of county-level epidemiological data, and foundational prevention training.

Technical Assistance Timeline:

- Planning: June 1 – June 30, 2026
  - Contractor will coordinate with DMHA to plan subrecipient services and develop templates.
- Implementation: July 1, 2026 – June 30, 2028
  - Contractor will provide comprehensive technical assistance services.
- Wrap-Up: July 1 – August 31, 2028
  - Contractor will provide support for subrecipients' close-out activities as grants terminate on June 30, 2028.

1.1 Assistance for SUPTRS Subrecipients
Contractor will provide TA services to up to 32 subrecipients for the implementation of primary prevention strategies in alignment with SUPTRS block grant regulations.
Contractor will assign a primary TA consultant to each subrecipient, who will be responsible for progress monitoring and coordination with DMHA and the evaluator.
Contractor will meet with each subrecipient to develop an Individual Technical Assistance Plan based on grantee capacity, community-based process, implementation, goals, and needs. The individual plans will identify direct TA services to be provided to each subrecipient that will be tracked monthly to ensure appropriate provision of services. Individual TA Plans will be reviewed at least quarterly and updated as needed to be responsive to the subrecipients' needs. **All initial Individual TA Plans should be submitted to DMHA for approval by August 31, 2026.**
Modifications to Individual TA Plans should be approved by DMHA prior to implementation.
Contractor will coordinate and communicate with the DMHA-contracted evaluator. TA services provided should be responsive, as feasible, to evaluation data and feedback made available by evaluation contractor.
Contractor will utilize a standard documentation process for identifying and monitoring site progress and concerns, including the need for DMHA intervention or corrective action plans.
Contractor will assign a TA team leader who will serve as DMHA's primary contact, distribute communications and guidance to other TA team members, and ensure consistency in assistance provided to subrecipients.
Contractor will provide in-person and synchronous TA support, tailored to local needs, during established business hours excluding state/federal holidays and organizational closures.
Asynchronous resources will be made available as supplemental supports on designated online platforms.
Contractor will provide live, virtual, interactive group TA services which may include webinars, work sessions, roundtables, or other virtual learning supports up to six hours in duration per quarter during SFY27-28.
Contractor will upload all PowerPoints and other resource materials including guidance documents and templates to the data collection system for easy access by subrecipients.

Technical assistance should include, but not be limited to the following:

- Mixed methods collection, analysis, and prioritization of substance abuse and related behavioral health problems prevalence and determinants (e.g., risk and protective factors).
- Mobilization of prevention systems and resources to address priority issues.
- Evaluation data utilization, including dissemination and visualization coaching.
- Use of the Strategic Prevention Framework (SPF) including provision of supportive resources or tools.
- Priority support for SPF fidelity milestones and benchmarks aligned with subrecipients' grant activities, approved work plan, and Individual TA Plan goals.
- Support the integration of sustainability throughout all grant efforts.
- Support logic model development and refinement, including conceptual fit/alignment, practical fit, outcomes-requisite saturation targets, strategy selection strength of evidence, and appropriate tailoring and adaptation for local context.
- Selection and implementation of evidence-based strategies and best practices aligned with the Center for Substance Abuse Prevention's (CSAP) six primary prevention strategies, as well as ongoing application of available process and formative evaluation data to inform strategy enhancement efforts.
- Monitoring data entry (e.g., reach, fidelity, progress) into data collection system as part of diagnostic and responsive technical assistance.
- Assessment and documentation of subrecipient needs via monthly status reporting.
- Monitoring quarterly spend-down trajectory and guidance for allowable expenditures and DMHA-approved expenses associated with program funds.
- Guidance for program fidelity and/or best practice integration aligned with approved workplan strategies.
- Guidance on school and community partnership development as well as expansion of services into new areas or settings.
- Development of templates and/or guidance documents for subrecipient use, including but not limited to community needs assessment, logic model, eligible population surveillance scan, work plan, sustainability plan, and monthly progress report, in coordination with DMHA.

Contractor will include the following information in each quarterly SUPTRS TA Report:

- Summary of technical assistance services provided to each subrecipient, demonstrating adherence to Individual TA Plans and an explanation for any deviations. Contractor must demonstrate adherence, such as provision of specified TA activities, to at least 28 of the 32 Individual TA Plans.
- Trends related to subrecipients' needs, barriers, and successes.

<u>1.2 Monthly Status Meetings</u>

Contractor will participate in a virtual monthly status meeting with DMHA and the evaluation provider to review subrecipient progress, coordinate services, and address concerns. Contractor will coordinate with DMHA to develop a standard status report form that details subrecipients' progress on grant activities and strategy implementation, data entry delays, communication issues, concerns, status of sites "flagged for monitoring" or issued corrective action plans, and financial updates. At least one business day prior to the monthly meeting, contractor will send the status report to DMHA and the evaluation provider for review.

Contractor will include that quarter's status reports for all subrecipients with each quarterly SUPTRS TA Report.

1.3 Site Visits

Contractor will conduct one structured virtual site visit per subrecipient per SFY2027 and SFY2028 to evaluate general functioning and address any technical assistance needs. Contractor will conduct in-person site visits if DMHA and the contractor determine that a subrecipient is on a corrective action plan or at high risk of being placed on a corrective action plan. Contractor will notify DMHA immediately if the subrecipient refuses or is otherwise unable to participate in a site visit.

Contractor will include the following information in the quarterly SUPTRS TA Report for June 2027 and June 2028:

1. Subrecipient-specific site visit summaries including the date, time, and location of the visit; subrecipient grant progress; compliance with programmatic grant requirements; technical assistance needs addressed; areas for improvement; and recommendations given to the site.
2. Aggregate schedule of site visits conducted. All site visits must be completed to receive payment, unless exemptions have been approved in writing by DMHA.
3. Aggregate summary of trends and recommendations.

1.4 Evidence-Based Programs Guide

Contractor will maintain an evidence-based programs (EBP) guide of substance use primary prevention programs, policies, and practices for use by subrecipients, DMHA, and the public. Contractor will coordinate with DMHA to identify operationalized criteria for programs, practices, and policies considered evidence-based, promising, or evidence-informed. Criteria for inclusion must meet, at minimum, SAMHSA's guidelines as found on page 85 of the FY 2026–2027 SUBG Report. Contractor will review DMHA's existing EBP Guide, identify any new research impacting the level of effectiveness of included programs, assess additional programs for inclusion, and determine any needed revisions. Contractor will present findings to DMHA for approval before finalizing the guide. The guide should be formatted for online use with a PDF version for DMHA's records. If the online version is to be hosted on DMHA's website, contractor will ensure that it meets FSSA's accessibility guidelines.

Contractor will include the hyperlink and PDF file of the final approved EBP Guide in the quarterly SUPTRS TA Report for June 2027.

1.5 County Level Epidemiological Data

Contractor will maintain a resource of Indiana county- and state-level epidemiological data for subrecipients regarding substance use prevention. It should include, at a minimum: demographic data; protective factors; risk factors; availability of substances; and economic and social conditions. The data sets and rationale for their inclusion must be approved by DMHA.

Contractor will include a summary of the data sets utilized and a hyperlink to the data in the quarterly SUPTRS TA Report for June 2027.

1.6 Foundational Prevention Training

Contractor will conduct three in-person training events on the four-day SPF Application for Prevention Success Training / Substance Abuse Prevention Skills Training (SAPST) or approved equivalent foundational substance use prevention curriculum. These events will be provided to a minimum of 5 and maximum of 40 participants per session. The events should occur during SFY2027 (July 1, 2026 – June 30, 2027) and be held regionally across the state: north, central, and south. Contractor should supply the link to a free pre-requisite course, such as Introduction to Substance Abuse Prevention: Understanding the Basics, to all registrants. Contractor will be responsible for all logistics of the events, including content, registration, venue, trainer fees and travel expenses, training supplies, participant evaluation of session, certificates of completion, etc. Contractor should make a concerted effort to utilize Indiana's novice SAPST trainers and pair them with an experienced trainer to increase training capacity in the state. In the event no novice trainers are available for a given session, Contractor may use trainers of their choice. DMHA will supply a list of trainers who completed the Training of Trainers through the Prevention Technology Transfer Center. Contractor will offer early registration opportunities to subrecipients prior to releasing registration to the public.

Contractor will include the following information in the quarterly SUPTRS TA Report:

- A document with the training schedule that includes dates, locations, venues, and trainer names. Due with the September 2026 quarterly report.
- A report for each training event that includes the participant roster and summary of participant evaluations. Due with the quarterly report for the corresponding period.

Claim Submission for Technical Assistance for SUPTRS Subrecipients
Submit a quarterly SUPTRS TA Report that includes all components outlined below at the end of September 2026, December 2026, March 2027, June 2027, September 2027, December 2027, March 2028, June 2028, August 2028.

SUPTRS TA Report

1. Assistance for SUPTRS Subrecipients – Each quarter
    a. Summary of technical assistance services provided to each subrecipient, demonstrating adherence, such as TA services provided, to Individual TA Plans and an explanation for any deviations. Contractor must demonstrate adherence to at least 28 of the 32 Individual TA Plans.
    b. Trends related to subrecipients' needs, barriers, and successes.
2. Monthly Status Meetings – Each quarter
    a. Include that quarter's status reports for all 32 subrecipients.
3. Site Visits – June 2027, June 2028
    a. Subrecipient-specific site visit summaries including the date, time, and location of the visit; subrecipient grant progress; compliance with programmatic grant requirements; technical assistance needs addressed; areas for improvement; and recommendations given to the site.
    b. Aggregate schedule of site visits conducted. All 32 site visits must be completed to receive payment, unless exemptions have been approved in writing by DMHA.
    c. Aggregate summary of trends and recommendations.
4. Evidence-Based Programs Guide – June 2027
    a. The PDF file and hyperlink of the final approved EBP Guide.
5. County Level Epidemiological Data – June 2027
    a. Summary of the data sets utilized and a hyperlink to the data.
6. Foundational Prevention Training – September 2026 and other relevant quarters
    a. A document with the training schedule that includes dates, locations, venues, and trainer names. (September 2026)
    b. A report for each training event including the participant roster and summary of participant evaluations. (with the quarterly report for the corresponding time period)

2. DATA COLLECTION FOR SUPTRS SUBRECIPIENTS

Service Period: June 1, 2026 – August 31, 2028
Contractor will provide an online data collection system for subrecipient use that aligns with the Strategic Prevention Framework and the primary prevention reporting requirements for the SUPTRS Block Grant. Current SUPTRS data reporting requirements may be found in the FY 2026–2027 SUBG Report, Tables 5a, 5b, 5c, 31-34. Contractor will coordinate with DMHA to

ensure that the data collection system remains compliant with federal reporting requirements, modifying the system as necessary.

System customization and testing will occur during June 2026. **The system must be ready for subrecipient use by July 1, 2026.**

The data collection system will collect programmatic data that includes, at minimum:

a. National Outcomes Measures
b. Institute of Medicine prevention risk level classification
c. Center for Substance Abuse Prevention (CSAP) six primary prevention strategies
d. Demographics of individuals served
e. Utilization of evidence-based programs and practices
f. Adherence to fidelity measures including dose and duration
g. Financial budgets and expenses
h. Storage for subrecipient documents with central repository access for DMHA
i. SUPTRS data reporting requirements found in the FY 2026–2027 SUBG Report, Tables 5a, 5b, 5c, 31-34.
j. Other items previously designated by DMHA and SAMHSA as of SFY 2026 (or this contract period). Future data collection requirements can be added to the system via 2.5 System Modification.

The system will not collect any Personally Identifiable Information (PII) or Protected Health Information (PHI). Contractor will coordinate with DMHA to instruct subrecipients on the appropriate format for participant rosters.

2.1 System License

DMHA will be granted a license providing access to a minimum of 210 users: up to five (5) users from each of the 32 community and 8 college subrecipients and up to 10 universal-access users from the DMHA prevention team, DMHA's evaluation provider, DMHA's college technical assistance provider, and FSSA Auditors.

Contractor will submit proof of licensure upon contract execution and with the annual Data Collection Report for September 2026.

Contractor will submit an annual export of raw data in Excel or .csv format to DMHA, attached to the annual Data Collection Report for September 2027 and August 2028.

2.2 Reporting Features

All reports should have PDF download capability, with Excel/.csv download capability for the state fiscal year and SUPTRS Block Grant reports.
At a minimum, reports available should include:

a. Fillable subrecipient monthly progress report form aligned with DMHA needs
b. Fidelity monitoring report
c. State fiscal year report, available to DMHA at the aggregate level with the ability to filter by year, subrecipient(s), and funding source.
d. SUPTRS Block Grant report that aligns with reporting requirements found in the FY 2026–2027 SUBG Report, Tables 5a, 5b, 5c, 31-34. Available to DMHA at the aggregate level with the ability to filter by year, subrecipient(s), and funding source.

Contractor will submit a PDF of each type of report with annual Data Collection Report for September 2026 and September 2027.

2.3 Training

Contractor will provide training and technical support on the system to subrecipients, DMHA, and DMHA's evaluation provider as necessary. Contractor will maintain a manual on the use of the system that is reviewed and updated at least annually. Contractor will administer one interactive system training session for users in SFY2027 and a refresher session in SFY2028.

Contractor will submit the user manual and annual training roster with the annual Data Collection Report for September 2026 and September 2027.

2.4 Technical Support
Contractor will provide technical support on the system to subrecipients, DMHA, and DMHA's evaluation provider as necessary. Technical support may include programming services for the purpose of migrating or correcting data, changing minor program functionality, improving usability, or troubleshooting errors.
Contractor will notify DMHA immediately of any system outages and the anticipated restoration time, when known. For outages lasting more than five business days, contractor will provide an alternative to ensure that subrecipients are able to meet grant deadlines.

Contractor will include in each annual Data Collection Report:

- Summary report of support provided that includes the service provided, date requested, name of requestor, and date resolved
- Report detailing any outages and restorations.

2.5 System Modifications
Contractor will modify the data collection system as requested by DMHA to add additional projects or enhance existing projects with customized workflows or new features. For new projects, this includes project and user account(s) creation, technical support, and addition to the system license. New projects will utilize the existing elements of the data collection system. For project enhancement, Contractor will modify the system to add business logic and tools unique to that project. Examples of project enhancement include development of new forms or reports and modification, addition, and/or deletion of data entry fields.
Contractor will establish criteria for assigning a unit cost to each modification based on the complexity of the modification and staff resources needed to complete it. A total of ten (10) units will be allocated for the term of the contract.
The contractor will coordinate with DMHA to discuss potential system modifications and submit a proposal that includes the modifications, timeline, and number of units to be utilized prior to implementation. All modifications must be approved in writing by DMHA.

Contractor will submit a report detailing system development, screenshots of completed system development, date requested/approved by DMHA, date completed, and number of units utilized. Due with the annual Data Collection Report that corresponds to the time period in which the work was completed.

Claim Submission for Data Collection for SUPTRS Subrecipients
Submit an annual Data Collection Report that includes all components outlined below by September 30, 2026, September 30, 2027, and August 31, 2028.

Data Collection Report

1. System License – Each year
    a. Proof of licensure. (2026)
    b. Annual export of raw data in Excel or .csv format to DMHA. (2027, 2028)
2. Reporting Features – 2026, 2027
    a. PDF files of each type of report.
3. Training – 2026, 2027
    a. User manual.
    b. Annual training roster.

4. Technical Support – Each year
    a. Summary report of support provided that includes the service provided, date requested, name of requestor, and date resolved
    b. Report detailing any outages and restorations.
5. System Modifications – With the relevant annual report
    a. Report detailing system development, screenshots of completed system development, date requested/approved by DMHA, date completed, and number of units utilized.

<u>3. INDIANA YOUTH SURVEY AND INDIANA COLLEGE SUBSTANCE USE SURVEY</u>

Service Period: June 1, 2026 – August 31, 2028
Contractor will support the State in large-scale survey efforts and data compilation.
Contractor will conduct the Indiana College Substance Use Survey, referred to hereafter as the College Survey, biennially during the spring semesters of "odd" years on the attitudes and behaviors of young adults in college and university settings with respect to the use of alcohol, tobacco, and other drugs, gambling behaviors, and risk and protective factors. This may be done as a convenience sample.
Contractor will conduct the Indiana Youth Survey, referred to hereafter as the Youth Survey, biennially during the spring semesters of "even" years. The survey measures attitudes and behaviors of adolescents in grades 6-12 with respect to the use of alcohol, tobacco, and other drugs, gambling behaviors, and risk or protective factors existing in the individual, peer, community, school, and family domains. This should include a random sample as well as a convenience sample.
Both surveys will be administered online. Results of the survey are used by the State to determine the effectiveness of the State's prevention programs, evaluations for discretionary grants, and to support communities, coalitions, and schools in the use of data-driven decision making. The Contractor will create, market and recruit participants, administer, analyze and provide related services such as data interpretation and presentations for the Youth Survey and the College Survey.
Contractor should submit all press releases regarding the results of the surveys to FSSA/DMHA for approval at least two weeks before the release date.
Contractor may utilize aggregate data sets for other research or data analysis projects provided that the project receives Institutional Review Board approval, at least one investigator for the project is affiliated with Contractor, and the results are shared with DMHA.

<u>3.1 College Survey Instrument</u>
Contractor will update the survey instrument design for the administration of the biennial College Survey that will include items to measure risk and protective factors across multiple domains, and items that assess mental health status; use of alcohol, tobacco, and other drugs; suicidal ideation; and problem gambling behavior. Contractor will establish an advisory committee regarding the changes to the questions for each survey administration that includes representation from DMHA and colleges.
Contractor will submit the draft revised College Survey instrument design to DMHA for review at least two weeks before the due date.
Contractor will submit the final approved College Survey instrument with the September 2026 quarterly Survey Report.

<u>3.2 College Survey Recruitment and Administration</u>
Contractor will establish the recruiting/marketing plan along with a timetable that details the dates and activities for the College Survey administration. Marketing efforts should include sending participation request emails to schools with the opportunity to participate. Contractor will update recruitment materials for the College Survey that can be sent out as a way of promoting participation in the survey.

Contractor will make participation in the College Survey available to all colleges and universities in Indiana during the survey administration period, with the final date of data collection no later than May 1, 2027.

Contractor will submit the recruitment/marketing plan that includes recruitment plans, number of colleges/universities to be contacted to participate, participant confirmation process, and survey timetable. Due with December 2026 quarterly Survey Report.
Contractor will submit a report of the process to administer the survey, number of schools participating, and emerging issues or improvement with the June 2027 quarterly Survey Report.

3.3 College Data Analysis and Final Reports
Contractor will process and analyze the data from the College Survey and report information at state level, including any relevant and reliable national comparison data. Contractor will include analysis of data within demographic categories if sub-group analyses are appropriate (e.g. due to sample size). Contractor will prepare a formal written state level report of the findings of the College Survey and an executive summary suitable for further publication.

Contractor will post the reports on a website devoted to the College Survey and deliver ten printed copies of each report to DMHA.
Contractor will submit the hyperlink to the reports and delivery confirmation of the printed copies with the September 2027 quarterly Survey Report.

3.4 Youth Survey Instrument and Sampling Methodology
Contractor will update the survey instrument design for the administration of the biennial Youth Survey that will include the National Outcomes Measures, items from the Communities that Care Survey to measure risk and protective factors across multiple domains, and items that assess mental health status; use of alcohol, tobacco, and other drugs; suicidal ideation; and problem gambling behavior.
Contractor will utilize a probability sampling methodology for the purpose of generating a statewide representative sample. The sampling method chosen should specify the response rates needed to achieve a representative sample.

Contractor will establish an advisory committee composed of representatives from DMHA, schools, and prevention coalitions. The advisory committee will review the proposed sampling methodology and proposed changes to survey questions.
Contractor will submit the draft revised Youth Survey instrument design to DMHA for review at least two weeks before the due date.

Contractor will submit the final approved Youth Survey instrument and sampling methodology with the June 2027 quarterly Survey Report.

3.5 Youth Survey Recruitment and Administration
Contractor will establish the recruiting/marketing plan along with a timetable that details the dates and activities for the Youth Survey administration. Marketing efforts should include sending participation request letters to schools and other methods to notify schools of the opportunity to participate. Contractor will update the Youth invitation letter that is geared toward middle/high schools and communities to increase participation in the survey. Contractor is permitted to provide monetary incentives to schools from the identified probability sample, at a rate agreed upon with DMHA.
Contractor will make participation in the Youth Survey available to all school-aged children in grades 6-12 attending public and private schools in Indiana during the school year. Contractor will ensure administration of survey in all participating schools by June 30, 2028.

Contractor will submit the Recruitment/Marketing Plan for the upcoming survey including recruitment plans, number of schools to be contacted to participate (elementary/middle/high

schools), participant confirmation process, and survey timetable. Due with the June 2027 quarterly Survey Report.
Contractor will submit a report including the process to administer the survey, number of schools participating, and any emerging issues or suggestions for improvement. Due with the June 2028 quarterly Survey Report.

3.6 Youth Survey Data Analysis and Final Reports
Contractor will process and analyze the data from the Youth Survey and report information for the convenience and representative samples. Contractor will compare results of the Youth Survey to the national findings from the Monitoring the Future Survey conducted by the University of Michigan. Contractor will prepare a formal written state level report of the findings of the Youth Survey and an executive summary suitable for further publication.

Contractor will post the report on a website devoted to the Youth Survey and deliver ten printed copies to DMHA.
Contractor will submit the hyperlink to the report and delivery confirmation of the printed copies with the August 2028 quarterly Survey Report.

3.7 Youth Survey Countywide Aggregated Report
Contractor will provide a multi-school corporation aggregated report of the Youth Survey questions for priority populations in the respective county of each of the SUPTRS community prevention subrecipients. Contractor will prepare an aggregate report including the following information: Monthly Use of Alcohol, Tobacco, And Other Drug Use of Students Whose Parents Served in a War Zone; Monthly Alcohol, Tobacco, And Other Drug Use of Students Whose Parents Served Time in Jail; and Monthly Alcohol, Tobacco, And Other Drug Use of Students Who Are Mentally Distressed.
Contractor will share this report with the Technical Assistance team to review with the appropriate SUPTRS subrecipients.

Contractor will submit the final report in PDF format with the August 2028 quarterly Survey Report.

3.8 Technical Resources
Contractor will provide resources to all schools participating in the Youth and College Surveys related to understanding data, such as providing local reports, assisting with data interpretation, and using the survey data explorer.

Contractor will submit a summary report of resources provided and the number of schools requesting and receiving assistance with each quarterly Survey Report.

3.9 Presentations, Webinars, and Exhibits
Contractor will support recruitment and education efforts about the surveys through presentations, webinars and/or exhibits at conferences attended by survey stakeholders and decision-makers. Contractor will deliver a minimum of six presentations, webinars, or exhibits, during the term of the contract. The presentations, webinars, and/or exhibits may feature both surveys but should have an emphasis on the Youth Survey and be delivered to DMHA prevention subrecipients and other stakeholders such as schools, Local Coordinating Councils, and other coalitions.

Contractor will submit an annual report of the presentations, webinars and/or exhibits conducted, including the date, time, length, location, delivery format, facilitator(s), number of attendees, agenda and topics/the specific program covered, and results of pre/post-tests if available. Due with the September 2027 and August 2028 quarterly Survey Report.

3.10 Survey Webpage and Data Explorer
Contractor will maintain a webpage devoted to both the Indiana Youth Survey and the Indiana College Survey. At minimum, webpages must include the most current versions of the surveys, data explorers updated with the new year of data, results of earlier Youth and College Surveys,

instructions in the application and use of the Surveys' findings, resources for the analysis and interpretation of both Surveys, and future activities related to both Surveys.

Contractor will submit links to survey webpages and Google analytic reports with the quarterly Survey Report in September 2026, September 2027, and August 2028.

Claim Submission for Indiana Youth Survey and Indiana College Substance Use Survey
Submit a quarterly Survey Report that describes that quarter's activities and includes all components outlined below. Due at the end of September 2026, December 2026, March 2027, June 2027, September 2027, December 2027, March 2028, June 2028, August 2028.

Survey Report – brief description of the quarter's activities and:

1. College Survey Instrument – September 2026
    a. Final approved College Survey instrument.
2. College Survey Recruitment and Administration – December 2026, June 2027
    a. Recruitment/marketing plan that includes recruitment plans, number of colleges/universities to be contacted to participate, participant confirmation process, and survey timetable. (December 2026)
    b. Report of the process to administer the survey, number of schools participating, and emerging issues or improvement. (June 2027)
3. College Data Analysis and Final Reports – September 2027
    a. Hyperlink to the reports and delivery confirmation of the printed copies.
4. Youth Survey Instrument and Sampling Methodology – June 2027
    a. Final approved Youth Survey instrument and sampling methodology.
5. Youth Survey Recruitment and Administration – June 2027, June 2028
    a. Recruitment/Marketing Plan for the upcoming survey including recruitment plans, number of schools to be contacted to participate (elementary/middle/high schools), participant confirmation process, and survey timetable. (June 2027)
    b. Report of the process to administer the survey, number of schools participating, and any emerging issues or suggestions for improvement. (June 2028)
6. Youth Survey Data Analysis and Final Reports – August 2028
    a. Hyperlink to the reports and delivery confirmation of the printed copies.
7. Youth Survey Countywide Aggregated Report – August 2028
    a. Final report in PDF format.
8. Technical Resources – Each quarter
    a. Summary report of resources provided and the number of schools requesting and receiving assistance.
9. Presentations, Webinars, and Exhibits – September 2027, August 2028
    a. Annual report of the presentations, webinars and/or exhibits conducted, including the date, time, length, location, delivery format, facilitator(s), number of attendees, agenda and topics/the specific program covered, and results of pre/post-tests if applicable.
10. Survey Webpage and Data Explorer – September 2026, September 2027, August 2028
    a. Contractor will submit links to survey webpages and Google analytic reports.

4. TECHNICAL ASSISTANCE FOR REGIONAL SCHOOL-BASED SERVICES

Service Period: July 1, 2026 – June 30, 2027
Contractor will provide statewide technical assistance services to DMHA and its subrecipients of the Regional Evidence Based School and Community Prevention Program, which provides legislatively mandated school-based evidence-based prevention programs that promote mental health, maximize student success, and prevent substance misuse for students, teachers, parents,

caregivers, and community members. Services will include training, resource development, and assistance with program selection and implementation.

4.1 Training
Contractor will work with DMHA to develop, coordinate, and execute training opportunities for the Regional Evidence Based School and Community Prevention Program. Training topics may include, but are not limited to, assistance with required grant components, assessment and gap analyses, strategy selection, best practices, expansion of services, and sustainability. All training events should include a participant evaluation of the session which will be utilized to inform future events.

Contractor will deliver training by facilitating:

- One statewide day-long convening held in-person, managing all event logistics and content in collaboration with DMHA. This event will be hosted at the Indiana Government Center.
- Monthly virtual TA events featuring training identified with DMHA or a roundtable with guided discussion for subrecipients to strategize and learn from each other. A total of 12 one-hour events will be provided.
- Distribution of up to 12 newsletters for subrecipients and other school-based prevention providers, as agreed upon with DMHA.

Contractor will submit finalized training materials and a summary of activity that includes attendance rosters and results of participant evaluations, with each quarterly School-Based TA Report.

4.2 Resource Development
Contractor will coordinate with DMHA to review project progress, identify trends, determine gaps in knowledge/resources, and develop resource materials.

- Contractor will meet with DMHA monthly to review progress, discuss trends, and plan for future support.
- Contractor will develop and/or maintain a school-based best practice resource guide.
- Contractor will assist DMHA with the development of four (4) other school-based prevention and mental health promotion resources.
- All resources should be completed by June 30, 2027.

Contractor will submit a summary of activity and trends, as well as any resources developed in that quarter, with each quarterly School-Based TA Report.

4.3 Assistance for School-Based Subrecipients
Contractor will provide assistance to subrecipients for the implementation of school-based evidence-based prevention programs that promote mental health, maximize student success, and prevent substance misuse for students, teachers, parents, caregivers, and community members. Assistance services should include a variety of delivery and communication methods such as email, telephone, and/or individual and group virtual meetings.
Contractor will meet with each subrecipient to assess their needs, goals, and capacity, develop an Individual TA Plan, and assign a primary technical assistance consultant.
Services provided to subrecipients may include:

- Expertise and guidance on programs including mental health promotion, substance use prevention, suicide prevention, student and educator wellness, bullying prevention, grief support, and student support programs
- Expertise and guidance on the implementation of direct and indirect strategies for universal, selective, and indicated services
- Assessment of subrecipient capacity and needs
- Assistance with school and community needs assessments and gap analysis

- Assistance with program selection and implementation
- Guidance on school partnership development and expansion of services into new areas/schools
- Assist with data utilization and program modification as needed

Contractor will submit a summary report of the technical assistance services provided to each subrecipient, demonstrating adherence to Individual TA Plans and an explanation for any deviations, with each quarterly School-Based TA Report.

<u>Claim Submission for Technical Assistance for Regional School-Based Services</u>
Submit a quarterly School-Based TA Report that includes all components outlined below. Due at the end of September 2026, December 2026, March 2027, and June 2027.
School-Based TA Report

1. Training – Each quarter
    a. Finalized training materials and a summary of activity that includes attendance rosters and results of participant evaluations.
2. Resource Development – Each quarter
    a. Summary of activity and trends, and resources developed during the quarter.
3. Assistance for School-Based Subrecipients – Each quarter
    a. Summary report of the technical assistance services provided to each subrecipient, demonstrating adherence to Individual TA Plans and an explanation for any deviations.

Table 1: Deliverables 1-3

Project Activity/ Deliverable	Due Date	Unit	Total Units	Unit Rate	Maximum Allowed	Documentation for Invoicing
June 2026 – Sept 2026 SUPTRS TA Report	9/30/2026	Each	1	$135,500	$135,500	Quarterly SUPTRS TA Report including all components outlined above
Sept 2026 Data Collection Report	9/30/2026	Each	1	$141,500	$141,500	Annual Data Collection Report including all components outlined above
June 2026 – Sept 2026 Survey Report	9/30/2026	Each	1	$53,950	$53,950	Quarterly Survey Report describing the quarter's activities and including all components outlined above
Oct 2026 – June 2027 SUPTRS TA Report	12/31/2026 3/31/2027 6/30/2027	Each	3	$135,500	$406,500	Quarterly SUPTRS TA Report including all components outlined above
Oct 2026 – June 2027 Survey Report	12/31/2026 3/31/2027 6/30/2027	Each	3	$53,950	$161,850	Quarterly Survey Report describing the quarter's activities and including all components outlined above

July 2027 – Sept 2027 SUPTRS TA Report	9/30/2027	Each	1	$105,950	$105,950	Quarterly SUPTRS TA Report including all components outlined above
Sept 2027 Data Collection Report	9/30/2027	Each	1	$125,100	$125,100	Annual Data Collection Report including all components outlined above
July 2027 – Sept 2027 Survey Report	9/30/2027	Each	1	$56,050	$56,050	Quarterly Survey Report describing the quarter's activities and including all components outlined above
Oct 2027 – June 2028 SUPTRS TA Report	12/31/2027 3/31/2028 6/30/2028	Each	3	$105,950	$317,850	Quarterly SUPTRS TA Report including all components outlined above
Oct 2027 – June 2028 Survey Report	12/31/2027 3/31/2028 6/30/2028	Each	3	$56,050	$168,150	Quarterly Survey Report describing the quarter's activities and including all components outlined above
July 2028 – Aug 2028 SUPTRS TA Report	8/31/2028	Each	1	$49,600	$49,600	Quarterly SUPTRS TA Report including all components outlined above
Aug 2028 Data Collection Report	8/31/2028	Each	1	$21,800	$21,800	Annual Data Collection Report including all components outlined above
July 2028 – Aug 2028 Survey Report	8/31/2028	Each	1	$44,900	$44,900	Quarterly Survey Report describing the quarter's activities and including all components outlined above
				Table 1 Total	$1,788,700	

Table 2: Deliverable 4
SFY27

Project Activity/ Deliverable	**Due Date**	**Unit**	**Total Units**	**Unit Rate**	**Maximum Allowed**	**Documentation for Invoicing**
SFY27 School Based TA Report	9/30/2026 12/31/2026 3/31/2027 6/30/2027	Each	4	$25,100	$100,400	Quarterly School-Based TA Report including all components outlined above
				Table 2 Total	$100,400	

Funding Sources

Award/Fund Description	FAIN	CFDA #	State Fund #	Amount
Substance Use Prevention, Treatment, and Recovery Services Block Grant	B08TI083447	93.959		$1,788,700.00
State Appropriation Child Psychiatric Services Fund			17024	$100,400.00
			Total	**$1,889,100.00**